

EXHIBIT E

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

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| JAENEAN LIGON, et al. | : |
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| Plaintiffs, | : |
| | : |
| -versus- | : |
| | : |
| CITY OF NEW YORK, et al. | : |
| | : |
| | : |
| Defendants. | : |
| -----X | |

12 Civ. 2274 (SAS)(HBP)

REPORT OF PLAINTIFFS' EXPERT DR. JEFFREY FAGAN

July 27, 2012

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A. Qualifications

I am the Isidor and Seville Sulzbacher Professor of Law at Columbia Law School, and a Professor of Epidemiology in the Mailman School of Public Health at Columbia University. I also am a Senior Research Scholar at Yale Law School. From July 2010 – June 2011, I was a Fellow at the Straus Institute for the Advanced Study of Law & Justice at New York University School of Law. I was the Director of the Center for Community and Law at Columbia Law School from 2003 – 2009, and again from September 2011 to the present. I was a Visiting Professor of Law at Yale Law School from July 2009 – June 2010. Prior to my appointment at Columbia University, I was Professor of Criminal Justice at Rutgers -The State University of New Jersey (1989-96), and Associate Professor, John Jay College of Criminal Justice in the City University of New York. I have co-authored three books and published numerous articles on law and social policy in professional peer-reviewed journals, law reviews, and other scholarly publications. I have received honors and awards from academic and professional associations. I have been appointed to scientific committees of the National Academy of Science, the National Institutes of Health, the American Society of Criminology, the National Science Foundation, and also to committees of other prestigious government agencies and several private foundations. I am a Fellow of the American Society of Criminology. I have a Ph.D. in Engineering from the University at Buffalo of the State University of New York. A summary of my curriculum vitae is presented in Appendix A.

B. Summary of the Issue Addressed

In this litigation, the Plaintiffs challenge New York City's stop, arrest, search, citation, and arrest policies implemented pursuant to "Operation Clean Halls," also known as the "Trespass Affidavit Program" and "Formal Trespass Affidavit Program." Plaintiffs allege that pursuant to that program, officers patrol inside and around buildings that have been enrolled in Operation Clean Halls. Plaintiffs allege that each year, the program results in thousands of illegal stops, searches, citations and arrests that have deprived them of their constitutional rights under the First, Fourth and Fourteenth Amendments, and that have resulted in violations of the Fair Housing Act and New York law.¹

I have been asked to provide analysis and evidence related to Plaintiffs' request for interim injunctive relief that would bring an immediate end to one specific subset of unconstitutional police activity that Plaintiffs allege arises from the program: stops

¹ See Complaint, *Ligon et al. v. City of New York*, 12 Civ. 2274 (SAS) (HBP) (SDNY).

made by officers directly outside of buildings enrolled in the Operation Clean Halls program based *only* on suspicion that the individual stopped was engaged in criminal trespass in that building. I hereafter refer to these involuntary, police-initiated stops as "Proximity Stops." Plaintiffs' preliminary injunction motion is further narrowed by focusing only on the Bronx.

In this report, I provide statistical evidence and analysis, extracted from the City of New York's Stop, Question, and Frisk Database ("SQF Database"), to identify and assess Proximity Stops recorded by NYPD officers in UF-250 forms in the Bronx in 2011.

As a result of this analysis, I identified 1,857 Proximity Stops recorded in the SQF database in the Bronx in 2011. These stops occurred in front of 700 different Clean Halls buildings across all twelve police Precincts in the Bronx. Plaintiffs assert that these Proximity Stops are presumptively without justification. Plaintiffs also asked me to examine the stop factors for each of these Proximity Stops to determine whether any stop factor (or combination of stop factors) identified would provide a reasonable suspicion basis for an officer to stop a person outdoors for allegedly having trespassed inside of a Clean Halls building. Based on the parameters supplied to me by Plaintiffs' counsel, I identified, at minimum, 1,137 stops out of the 1,857 (61.2%) where the stop factors in the SQF database do not appear to provide any basis for stopping an individual only on suspicion of criminal trespass outside of a building enrolled in Operation Clean Halls.

C. Data Sources

Two data sources were used to conduct this analysis. The first source is the roster of buildings that the City identified as being enrolled in Operation Clean Halls, provided to the Plaintiffs by the City in this litigation. These lists contained 5,124 address records of buildings enrolled in Operation Clean Halls in the Bronx in 2011. The building addresses were then transformed into a latitude-longitude (x-y) coordinate using geographical mapping software.²

² The mapping software used was ArcGIS version 10.0. The basemap used was the LION streets shapefile, which is maintained and distributed by the NYC Department of City Planning. LION maps are available at: <http://www.nyc.gov/html/dcp/html/bytes/dwnlion.shtml>. The version of the LION file used was released in April 2012. Geocoding was performed using the LION's own preconfigured "locator" geocoding service, which geocodes addresses based on a single concatenated address field plus a "borocode" field. The geocode settings used (i.e. spelling sensitivity, minimum candidate score, minimum match score, and side and end offsets from street) were the default LION locator settings.

The second data source is the City's SQF database for 2011, which also was provided to the Plaintiffs by the City in this litigation.³ The SQF Database includes information about stops conducted by NYPD officers. Specifically, when an NYPD officer conducts a stop, he or she should complete a NYPD form known as a "UF-250." A copy of a UF-250 form is attached as Appendix B. Information about each encounter recorded on a UF-250 form is subsequently entered into a digital database. The UF-250 form contains several specific types of information relevant to this analysis, all of which are recorded in the SQF database. The portion of the SQF database that was relevant to this analysis was all stops that occurred in the Bronx in 2011.

The UF-250 form includes the address location of each stop. For the purpose of identifying stops included in the SQF database that occurred at a building enrolled in Operation Clean Halls, the street address of each stop was transformed into an x-y coordinate using precisely the same geographical mapping process that was utilized to assign an x-y coordinate for each building. As I describe in more detail below, each stop whose address location (x-y coordinate) matched the address location (x-y coordinate) of one of the Clean Halls buildings was considered a "match" for purposes of this analysis and included in the analysis.

The data also contain information in several places on the suspected crime that motivated the stop. The first is the "detailCM" field, where the suspected crime is reliably and consistently coded into 113 numerical categories. The code for criminal trespass is "31." In addition, information about the suspected crime is contained in the "crimsusp" field, although the information in this field is frequently ambiguous as to the suspected crime (for example, when the entry simply shows "misdemeanor" or "MISD" or "MIS"), or is missing entirely. Finally, information regarding the suspected crime is also occasionally contained in the "detailSA" field, where the officer may write-in an additional explanation of the circumstances for the stop. The crimsusp and detailSA fields are completed by the officer by hand; there is no fixed set of entries.

In addition to information about the suspected crime, the UF-250 form includes information about whether the stop occurred indoors or outdoors. The first piece of

The coordinate system used was the default LION coordinate system (NAD_1983_StatePlane_New_York_Long_Island_FIPS_3104_Feet). Using this method, 97% of the building street addresses successfully geocoded.

³ Plaintiffs requested, and the City provided to them, a copy of the SQF database produced to the plaintiffs in *Floyd v. City of New York*, 08 Civ. 1034 (SAS) (SDNY), and *Davis v. City of New York*, 10 Civ. 0699 (SAS) (SDNY).

information is the "Outside" and "Inside" box on the UF-250 form. Officers mark this box to indicate whether the stop occurred outside or inside a building.⁴ This box is nearly always checked on each form.

Additional information about whether the stop occurred inside or outside occasionally appears in two other fields on the UF-250 form. The first of these fields is called "Type of Location" on the UF-250 form, which appears to be labeled "premname" in the SQF database. This field is completed by the officer by hand; there is no fixed set of entries from which officers can select a response. The second of these fields is the detailSA variable in the SQF database. While the intended purpose of this field appears to be for the officer to record any "Other" reason for the stop (as described below), a review of the entries in this field revealed that officers enter other types of information in this field, such as information relevant to the location of the stop, including, for example, text stating simply "Clean Halls." The information contained in all these fields was used to select the stops relevant to this report.

The UF-250 form also includes information about the purported reasons for the stop. More specifically, this information indicates which among a fixed list of 10 specific indicia allegedly motivated the stop ("stop factors"). The officer selects from these 10 stop factors on Side 1 (the front) of the UF-250 form. One of these ten factors is a designation of "other." If the officer indicates "other," the officer may write additional details providing further explanation. These are recorded in the computerized data as a text string.

In addition to these stop factors, the back side of the UF-250 form contains a fixed list of 10 "additional circumstances" (again, including a category of "other"). Where "other" is checked, the officer may write in additional details, which are recorded in the SQF database as text strings.

Finally, the UF-250 also contains basic information on the suspect's demographic characteristics, including race and ethnicity. It also includes information regarding whether the stop involved a search, frisk, or the use of force.

⁴ The "File Specifications for the 2011 SQF Database, provided by the City, record this variable as "inout" and labeled that variable as follows: "WAS STOP INSIDE OR OUTSIDE?" See NYPD Stop Question Frisk Database 2011 4Q File Specifications.

D. Methods

The methods of analysis for this report involved two distinct steps. The first step was identifying the subset of 2011 Bronx stops that were Proximity Stops by isolating, among all the Bronx 2011 SQF data, just those stops that (a) identified trespass as the only crime suspected; (b) took place at a building enrolled in Operation Clean Halls; and (c) occurred outside the building. Once this group of presumptively unlawful Proximity Stops was identified, the second step involved an analysis of the stop factors recorded by the officer for making those stops. Each step is described in detail below.

1. Identifying Proximity Stops

Identifying Proximity Stops involved the five different steps outlined in Section C, above. The first step was to isolate in the SQF Database those stops that took place in the Bronx in 2011.

Second, stops where the suspected crime was trespass were isolated using the three following criteria: (1) the suspected crime was coded as "31" for criminal trespass; (2) the officer wrote an entry in the "crime suspected" field that indicated criminal trespass; or (3) the officer wrote an entry in the "other" stop factor field that indicated criminal trespass. A stop was designated as a "trespass stop" if it met any of these three criteria.⁵

The third step was to identify those trespass stops within this set that were connected to Clean Halls buildings. To identify these, trespass stops in this set were selected if their x-y coordinate was an exact match for an x-y coordinate for a Clean Halls building.

There are several reasons why this method almost certainly produced an undercount of the total number stops in the SQF database that are related to Clean Halls buildings. One reason is that police officers record some stop locations in

⁵ The crimsusp and detailSA fields were searched for text strings that contain references to the offense of criminal trespass, as shown in Appendix C. Any record containing one of these text strings was classified as a stop for criminal trespass. Examples include: "CRIM TRESS", "CRIM TRES", "CRIM. TRESP.", "CRIM TRESPASS", "CRIMINAL TRESPASS", "CRIMINAL TRESPASSING", "TRESPASS", "TRESPASSING", "C/T", "CT", "TRES", "TRES(MIS)", "C.TRES", "CRIM TRE", "CRIM TREPASS", "CRIM TRES (MISD)", "CRIM TRESP", "140.15", "140.1", "140.10", "140.17", "MIS/CRIM TRES", "MISD/ CRIMINAL TRESPASS", "MISD/CRIM TRES", "MISD/CRIM TRESPASS", "CRIM TRESS/FEL".

general terms (e.g., a street corner, or an intersection of two streets) rather than an exact street address.⁶ It is likely that some of the stops characterized in this way took place in the proximity of a building enrolled in Operation Clean Halls, either because of their geographical proximity to the building or because another piece of information in the UF-250 recorded by the officer, such as the premises type or detail field, explicitly states "Clean Halls." However, because these stops cannot, by definition, be an "exact match" for a specific street address, they were excluded from the dataset for the purpose of this preliminary injunction analysis.⁷

The fourth step in this selection procedure was to identify, among this subset, only those trespass stops that occurred outdoors. This was done in two ways. First, the data were filtered to include only those stops where the officer checked the "Outside" box on the UF-250 form. A second round of analysis examined the premname and detailSA fields which, as noted above, occasionally include information related to the location of the stop. An analysis of these fields showed stops where, although the officer had checked the "Outside" box on the UF-250 form, the premname or detailSA field indicated that the stop did not occur outside of a building enrolled in Operation Clean Halls, and thus should be excluded.⁸

As a final step, the detailCM, crimsusp, and detailSA field were analyzed to isolate only those stops not just where criminal trespass was a suspected crime, but where it was the *only* apparent suspected crime.

I present the frequencies of these Proximity Stops with attention to the race, sex, and age of individuals stopped.

2. Analyzing the Stop Factors for Proximity Stops

After identifying Proximity Stops, the next step in the analysis I was asked to perform was to analyze the specific stop factors for each of these stops.

⁶ Of the subset of data where criminal trespass was the suspected crime, 7% had a location that was not a specific address, and were thus excluded from further analysis.

⁷ This method was employed because of the short timeframe allowed, at the preliminary injunction stage, for the preparation of this report. With more time, it will be feasible to analyze both geographic proximity to a Clean Halls building, and the detail fields, to determine whether stops without an exact street address also bear a relationship to buildings enrolled in Operation Clean Halls.

⁸ Stops that were excluded from the dataset were those stops where the detailSA and/or premname field indicated that the stop occurred (a) indoors; (b) at a park; or (c) on a rooftop. See Appendices D and E.

As noted in related litigation on SQF practices,⁹ current practice, as reflected in the UF-250 data, is for an officer to first determine that the circumstances of the involuntary (police-initiated) encounter with an individual meets the standards for reasonable suspicion as required by federal and New York State law¹⁰ before proceeding to detain and question that person, and then to record the bases for reasonable suspicion within the categories listed on the form.

For the purpose of this preliminary injunction analysis, the first stage of this analysis is to generate a set of simple counts, or frequencies, of the stop factors. The next step is an analysis of the text fields that are recorded in cases where the officer marked the "Other" stop factor and recorded text in the corresponding field on the form. During this process, the text strings in the detailSA field were analyzed and coded into categories based upon what the field revealed, if anything, about the officers' reasons for that particular stop. The method was similar to the process of crime coding for "suspected crime" that was necessitated by the uneven utterances of NYPD officers written into the "other" field. Here, I examined the text strings for the cases where "other" was a stop circumstance indicated in a Proximity Stop. The text strings were reduced to a set of common themes, and sorted into a set of descriptive categories. Those categories are contained in Appendix F.

E. Proximity Stops in the Bronx in 2011

1. 1,857 Proximity Stops Identified

Proximity stops were identified using the five-step method described in Section D. The first step isolated 135,738 stops in the Bronx in 2011. Second, 15,489 stops were identified in the Bronx in 2011 where criminal trespass was a suspected crime. Third, 6,538 stops were identified where criminal trespass was a suspected crime *and* the stop location was an exact match for the street address of a Bronx building enrolled in Operation Clean Halls in 2011. Fourth, that data subset was then filtered to include only those stops that occurred outside. That process identified 2,287 stops where the officer checked the "Outside" box on the UF-250 form, reduced by 384 stops where the premname or detailSA entry indicated an inside, park, or roof stop location, for a total of 1,903 outdoor stops. Finally, this subset of data was filtered again to isolate only those stops not just where criminal trespass was a suspected crime, but where it was the *only* apparent suspected crime. This step of

⁹ *Floyd v. City of New York*, 08 Civ. 1034 (SAS) (SDNY).

¹⁰ *Terry v. Ohio*, 392 U.S. 1, 28-30 (1968). *People v. DeBour*, 352 N.E.2d 562, 571-72 (1976).

the analysis identified 46 stops where some offense in addition to criminal trespass was mentioned in any one of these three fields. These stops were excluded from any further analysis. *See* Appendix G.

Thus, at the end of this process, 1,857 Proximity Stops were identified where criminal trespass was the only suspected crime *and* the stop occurred outdoors *and* the stop location was an exact match for a Clean Halls building address. The winnowing and selection processes are summarized in Table 1.

| Table 1. Steps in Selection of 2011 Bronx Trespass “Proximity Stops | Steps |
|--|-------------------|
| | N of Stops |
| 1. 2011 Stops in the Bronx | 135,738 |
| 2. Trespass Stops | 15,489 |
| 3. Stops Where X-Y Was an “Exact Match” for a building enrolled in Clean Halls | 6,538 |
| 4. “Outdoor” stops | 1,903 |
| 5. “Trespass Only” stops | 1,857 |

2. Demographic and Location Characteristics of Persons Stopped

Table 2 shows the gender and race or ethnicity of persons whose stops were identified as "Proximity Stops" for this analysis. The large majority were racial or ethnic minorities. Over half (51.8%) were either Black or Black Hispanic, and 39% were White Hispanics.

Table 2. Race and Gender of Persons Subject to Proximity Stops (N, Percent*)

| <i>Race or Ethnicity</i> | <i>Female</i> | <i>Male</i> | <i>Unknown</i> | <i>Total</i> |
|--------------------------|---------------|----------------|----------------|----------------|
| Black | 49 38.9 | 662 39.9 | 11 15.3 | 722 38.9 |
| Black Hispanic | 15 11.9 | 223 13.4 | 2 2.8 | 240 12.9 |
| White Hispanic | 51 40.5 | 662 39.9 | 12 16.7 | 725 39.0 |
| White | 4 3.2 | 35 2.1 | 1 1.4 | 40 2.2 |
| Asian/PI/NA | 0 0.0 | 11 0.7 | 0 0.0 | 11 0.6 |
| Other | 6 4.8 | 52 3.1 | 46 63.9 | 104 5.6 |
| Unknown | 1 0.8 | 14 0.8 | 0 0.0 | 15 0.8 |
| Total | 126 100.0 | 1,659 100.0 | 72 100.0 | 1,857 100.0 |

*Percents may not sum to 100.0 due to rounding.

Table 3 shows the distribution of the selected Proximity Stops by age and race or ethnicity. More than half (57.9%) of all Proximity Stops were of persons under 25 years old; about one in four (26.0%) were persons 25-35 years of age. There was

little variation with race by age, and given the small cell sizes for Whites and Other Race groups, there was no age by race interaction effect.

Table 3. Age and Race of Persons Subject to Proximity Stops (N, Percent*)

| <u><i>Race or Ethnicity</i></u> | <i>Age</i> | | | | <i>Total</i> |
|---------------------------------|-----------------|----------------|--------------|--------------|----------------|
| | <i>Below 16</i> | <i>16 - 24</i> | <i>25-35</i> | <i>35+</i> | |
| Black | 43 41.4 | 392 40.3 | 179 37.1 | 108 36.2 | 772 38.9 |
| Black Hispanic | 11 10.6 | 135 13.9 | 52 10.8 | 42 14.1 | 240 12.9 |
| White Hispanic | 40 38.5 | 350 36.0 | 218 45.1 | 117 39.3 | 725 39.0 |
| White | 2 1.9 | 21 2.1 | 4 0.8 | 13 4.4 | 40 2.2 |
| Asian/PI/NA | 1 1.0 | 8 0.8 | 0 0.0 | 2 0.7 | 11 0.6 |
| Other | 6 5.8 | 57 5.9 | 26 5.4 | 15 5.0 | 104 5.6 |
| Unknown | 1 1.0 | 9 0.9 | 4 0.8 | 1 0.3 | 15 0.8 |
| Total | 104 100.0 | 972 100.0 | 483 100.0 | 298 100.0 | 1,857 100.0 |

*Percents may not sum to 100.0 due to rounding

Proximity Stops were identified at 700 different buildings enrolled in Operation Clean Halls throughout the Bronx. These stops occurred in all twelve police Precincts in the Bronx.¹¹

¹¹ The frequency of Proximity Stops by Precinct is attached as Appendix K. The list of all twelve NYPD Precincts in the Bronx is available at <http://www.nyc.gov/html/nypd/html/home/precincts.shtml>.

3. Examining Stop Factors

The stop factors checked off by officers in the 1,857 Proximity Stops are shown in Table 4. Police officers check off Furtive Movements (44%) and “Other” (40%) far more often than any other stop circumstance.¹²

Table 4. Frequency of Stop Factors Checked for Proximity Stops

| Stop Factor | N of Stops | Percent* |
|-------------------|------------|----------|
| Furtive Movement | 820 | 44.16 |
| Other | 735 | 39.58 |
| Casing | 248 | 13.35 |
| Lookout | 232 | 12.49 |
| Drug Transaction | 140 | 7.54 |
| Fits Description | 107 | 5.76 |
| Suspicious Bulge | 105 | 5.65 |
| Violent Crime | 40 | 2.15 |
| Clothing | 30 | 1.62 |
| Suspicious Object | 3 | 0.16 |

*Percents add up to more than 100 because multiple stop factors are checked for some stops.

The reliance by NYPD officers on the “Other” stop factor as the rationale for nearly 40% of Proximity Stops suggests that a more detailed analysis is necessary to shed light on the stop factors underlying those stops. This analysis was facilitated by the

¹² “Furtive Movements” is a vague and standardless criterion that invites subjective and highly contextualized interpretation. That is, the same gesture may have little meaning in a commercial setting or a workplace, but could be perceived as an indicator of criminality in an area where police officers are psychologically primed to look for signs that “crime is afoot.” It is that process of priming and cognitive distortion that leads to high rates of error in translating those behavioral gestures as “dangerous.” See, e.g., Robert J. Sampson & Steven W. Raudenbush, Seeing Disorder: Neighborhood Stigma and the Social Construction of “Broken Windows”, 67 *Social Psychology Quarterly* 319 (2004); Geoffrey P. Alpert, John M. MacDonald, and Roger G. Dunham, Police Suspicion and Discretionary Decision Making During Citizen Stops, 43 *Criminology* 407 (2005). Prior research on SQF from 2004-9 has shown that the Furtive Movement category is a poor predictor that crime in fact is imminent or has just occurred. See Fagan Expert Report in *Floyd v. City of New York*.

presence of narrative descriptions recorded by officers in the text field associated with the "Other" stop factor.

Table 5 shows that officers checked off only one factor in nearly three-fourths (73.99%) of all Proximity Stops. They checked off two factors in another 20.41%.

Table 5. Number of Stop Factors Checked

| N of Factors | N of Stops | Percent |
|--------------|--------------|------------|
| 1 | 1,374 | 73.99 |
| 2 | 379 | 20.41 |
| 3 | 88 | 4.74 |
| 4 | 16 | 0.86 |
| Total | 1,857 | 100 |

Table 6 summarizes the distribution of stop factors in the 1,374 stops where only one stop factor was identified. As Table 6 shows, officers check off only "Furtive Movement" or "Other" as the exclusive stop factor in 1,020 stops, which represent nearly 75% of single-factor stops and more than half (55%) of the 1,857 Proximity Stops in Table 5.

Table 6. Proximity Stops with Only One Stop Factor

| Stop Factor | N of Stops | Percent |
|-------------------|--------------|------------|
| Other | 553 | 40.25 |
| Furtive Movement | 467 | 33.99 |
| Casing | 117 | 8.52 |
| Lookout | 87 | 6.33 |
| Fits Description | 62 | 4.51 |
| Drug Transaction | 43 | 3.13 |
| Suspicious Bulge | 33 | 2.40 |
| Clothing | 6 | 0.44 |
| Violent Crime | 5 | 0.36 |
| Suspicious Object | 1 | 0.07 |
| Total | 1,374 | 100 |

In 75.2% (553) of all 735 cases where "Other" is checked off, it is the only stop factor. Accordingly, the content of "Other" is important for a complete understanding of the stop factors identified by officers effectuating Proximity Stops.

To more fully understand the various meanings that officers apply in checking off "Other," I analyzed the associated text strings. The procedure for the analysis required a listing of all the text strings in the 735 stops where "Other" was checked as a stop factor and an examination of the content of those strings. When similar phrases or words for a single concept were present, a broader category was created to represent the shared meaning in those text strings. For example, the phrases "COMING OUT OF CLEAN HALLS BUILDING" "LEAVING A CLEAN HALLS BUILDING" (sic) and "WALKING OUT OF A CLEAN HALLS BUILDING" were consolidated into a meta-category termed "Observed Exit." The 735 text strings were thus collapsed into 22 categories. The entire analysis appears in Appendix F.

The frequency of each of the categories in this field is shown in Table 7. Three records among the 735 where "Other" was checked were left blank in the text string.

Table 7. Categories of Stop Factors Identified in Proximity Stops When "Other" Checked

| Category | N of Stops | Percent |
|---------------------------|-------------------|----------------|
| Clean Halls/Trespass | 399 | 54.3 |
| Observed Exit | 106 | 14.4 |
| Exclude for PI Analysis | 53 | 7.2 |
| Observed Outside | 41 | 5.6 |
| Keyless Entry | 28 | 3.8 |
| Radio Run | 20 | 2.7 |
| Observed Entry | 12 | 1.6 |
| Fits Description | 12 | 1.6 |
| Not Resident/Not Listed | 12 | 1.6 |
| Observed Entry and Exit | 11 | 1.5 |
| High Crime Area | 8 | 1.1 |
| Unintelligible | 8 | 1.1 |
| Change Direction | 7 | 1.0 |
| Miscellaneous Unjustified | 4 | 0.4 |
| Door Unlocked/Open | 4 | 0.4 |
| Evasive Response | 3 | 0.4 |
| [blank] | 3 | 0.4 |
| Keyless Exit | 2 | 0.3 |
| Time of Day | 1 | 0.1 |
| Suspicious Bulge/Object | 1 | 0.1 |
| Total | 735 | 100 |

The most commonly cited stop reason under "Other" is a category of text strings that refer to "Clean Halls," "Trespass," or both, as the sole notation. These account

for more than half (54.3%) the responses in the "Other" field. The second most prevalent stop factor identified among these Proximity Stops was "Observed Exit," a category described above, which accounted for 14.4% of stops.

The next step in this analysis was an examination of how often the various stop factors appeared (alone or in combination), including the categories created by the coding of the "Other" field, in order to examine the various categories of stop factors and their relationship, if any, to an officer stopping a person outdoors for allegedly having trespassed inside of a Clean Halls building.

Given this narrow question presented to me by Plaintiffs' preliminary injunction motion, a classification algorithm was not necessary to estimate the constitutional compliance of each of these 1,857 stops. Rather, simple counts were made of the various combinations of stop justifications.

Table 8 reports single-factor stops and combinations of factors where the N of stops for that factor set was 2 or greater (there were 56 unique combinations that had only 1 stop).¹³ The entire list of all these combinations is shown in Appendix H. As noted previously, in many cases "Other" was the only stop factor indicated. In these cases, the text string category is identified as the underlying stop factor. Thus, where "Other" was checked and the text string category was coded as "Clean Halls/Trespass," the stop factor identified in Table 8 is "Clean Halls/Trespass." The factor sets in Table 8 are mutually exclusive.

¹³ The single-factor stops and factor combinations in Table 8 are ones that Plaintiffs' counsel asked me to include in the context of an officer stopping a person outdoors for allegedly having trespassed inside of a Clean Halls building.

Table 8. Designated Stop Factors (Alone or in Combination) Identified by Officers Making Proximity Stops

| Stop Factor(s) | N of Stops |
|--|-------------------|
| Furtive Movement | 467 |
| Clean Halls/Trespass | 312 |
| Observed Exit | 74 |
| Furtive Movement + Clean Halls/Trespass | 55 |
| Suspicious Bulge | 33 |
| Observed Outside | 30 |
| Keyless Entry | 22 |
| Furtive Movement + Suspicious Bulge | 20 |
| Furtive Movement + Observed Exit | 18 |
| Radio Run | 17 |
| Observed Entry | 11 |
| Not Resident/Not Listed | 7 |
| Unintelligible | 6 |
| Clothing | 6 |
| High Crime Area | 6 |
| Furtive Movement + Clothing | 6 |
| Change Direction | 5 |
| Observed Entry + Exit | 5 |
| Furtive Movement + Observed Entry + Exit | 5 |
| Furtive Movement + Suspicious Bulge + Clothing | 4 |
| Suspicious Bulge + Clean Halls/Trespass | 4 |
| Door Unlocked/Open | 3 |
| Furtive Movement + Not Resident/Not Listed | 3 |
| Miscellaneous Unjustified | 3 |
| Suspicious Bulge + Observed Outside | 3 |
| [Blank] | 2 |
| Evasive Response | 2 |
| Keyless Exit | 2 |
| Furtive Movement + Change Direction | 2 |
| Suspicious Bulge + Clothing | 2 |
| Suspicious Bulge + Observed Exit | 2 |
| Total | 1,137 |

As shown in Table 8, out of the total 1,857 Proximity Stops, there were 1,137 (61.2%) where there does not appear to be any stop factor or combination of stop factors identified that provides a basis for stopping an individual outdoors on suspicion of criminal trespass at a building enrolled in Operation Clean Halls.

Of the 1,137 stops identified in Table 8, I also analyzed whether the SQF database indicated that any of these stops included a search, frisk, or use of force. That information is displayed in Table 9.

Table 9. Frequency of Searches, Frisks, and Use of Force Among Proximity Stops Identified in Table 8

| | N of Stops | Percent* |
|--------------|-------------------|-----------------|
| Frisk | 373 | 32.81 |
| Search | 67 | 5.89 |
| Use of Force | 224 | 19.70 |

*Percent of 1,137 stops identified in Table 8

4. Examining Additional Circumstances

In addition to the stops factors, I also analyzed the "Additional Circumstances" recorded by officers for the 1,137 Proximity Stops identified in Table 8. Table 10 shows the number of Additional Circumstances factors indicated among these Proximity Stops, and Table 11 shows the frequency of Additional Circumstances specified.

Table 10. Number of Additional Circumstances Checked for Proximity Stops Identified in Table 8

| N of Factors | N of Stops | Percent |
|---------------------|-------------------|----------------|
| 0 | 163 | 14.34 |
| 1 | 502 | 44.15 |
| 2 | 336 | 29.55 |
| 3 | 107 | 9.41 |
| 4 | 25 | 2.20 |
| 5 | 3 | 0.26 |
| 6 | 1 | 0.09 |
| Total | 1,137 | 100 |

Table 11. Frequency of Additional Circumstances Checked for Proximity Stops Identified in Table 8

| Additional Circumstances | N of Stops | Percent* |
|---------------------------------|-------------------|-----------------|
| High Crime Area | 641 | 56.38 |
| Time of Day | 374 | 32.89 |
| Proximity to Crime | 177 | 15.57 |
| Change Direction | 126 | 11.08 |
| Evasive Actions | 123 | 10.82 |
| Other | 77 | 6.77 |
| Investigation | 55 | 4.84 |
| Report of Crime | 27 | 2.37 |
| Associations | 15 | 1.32 |
| Sights and Sounds | 1 | 0.09 |

*Percents add up to more than 100 because multiple ACs are checked for some stops.

As shown in Table 11, officers checked off "High Crime Area" in the 1,137 Proximity Stops identified in Table 8 far more often (56.38%) than any other factor. The second and third most common Additional Circumstances identified were "Time of Day" (32.89%) and "Proximity to Crime" (15.57%).

Of the 1,137 stops in Table 8, 77 included "Other" among the stated Additional Circumstances. The text strings for the "Other" Additional Circumstance category were coded into categories in the same fashion as the text strings for the "Other" stop factor. See Appendix I. Table 12 summarizes the text string categories when "Other" was checked off for Additional Circumstances in the stops identified in Table 8.

**Table 12. Categories of Additional Circumstances
When "Other" Checked for Proximity Stops Identified
In Table 8**

| Category | N of Stops | Percent |
|---------------------------|-----------------------|----------------|
| Clean Halls/Trespass | 45 | 58.44 |
| Observed Exit | 7 | 9.09 |
| Miscellaneous Unjustified | 6 | 7.79 |
| Radio Run | 6 | 7.79 |
| Exclude for PI Analysis | 4 | 5.19 |
| Keyless Entry | 3 | 3.90 |
| Observed Outside | 3 | 3.90 |
| Not Resident | 2 | 2.60 |
| Door Unlocked/Open | 1 | 1.30 |
| Total | 77 | 100.00 |

Table 13 is a summary of the top twenty single-circumstance stops or circumstance-combination sets, by frequency, of Additional Circumstances identified by officers among the 1,137 Proximity Stops identified in Table 8. The top twenty circumstance sets represent nearly 90% of all stops identified in Table 8. A full list of all the various combinations of additional circumstances is contained in Appendix J. Similar to Table 8, where the officer indicated "Other" as an Additional Circumstance, the category for the text string is used as the label for the Additional Circumstance. The circumstance sets in Table 13 are mutually exclusive.

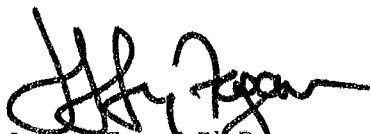
Table 13. Top 20 Additional Circumstances (Alone or in Combination) for Proximity Stops Identified in Table 8

| Additional Circumstance(s) | N of Stops | Percent* |
|--|-------------------|-----------------|
| High Crime Area | 247 | 21.72 |
| High Crime Area + Time of Day | 188 | 16.53 |
| (blank) | 163 | 14.34 |
| Proximity to Scene | 68 | 5.98 |
| Time of Day | 59 | 5.19 |
| Change Direction | 37 | 3.25 |
| High Crime Area + Time of Day + Proximity to Scene | 33 | 2.90 |
| Evasive Response | 25 | 2.20 |
| Clean Halls/Trespass | 25 | 2.20 |
| High Crime Area + Proximity to Scene | 25 | 2.20 |
| High Crime Area + Time of Day + Evasive Response | 21 | 1.85 |
| High Crime Area + Evasive Response | 17 | 1.50 |
| Change Direction + Evasive Response | 17 | 1.50 |
| High Crime Area + Change Direction | 15 | 1.32 |
| Proximity to Scene + Time of Day | 13 | 1.14 |
| Ongoing Investigation | 12 | 1.06 |
| Report by Victim/Witness/Officer | 10 | 0.88 |
| High Crime Area + Clean Halls/Trespass | 10 | 0.88 |
| High Crime Area + Ongoing Investigation | 8 | 0.70 |
| Change Direction + Ongoing Investigation | 8 | 0.70 |
| Total | 1,001 | 88.04 |

*Percent computed out of all 1,137 stops listed in Table 8. The circumstance sets of the additional 136 stops listed in Table 8 are included in Appendix J.

DECLARATION

I have been compensated for this work at the rate of \$375 per hour. My compensation is not dependent on my opinions or the outcome in this matter.

A handwritten signature in black ink, appearing to read "Jeffrey Fagan", with a stylized, flowing script.

Jeffrey Fagan, Ph.D.
New York, NY

Index of Appendices

Appendix A Curriculum Vitae

Appendix B UF-250 Form

Appendix C Identifying Trespass Stops

Appendix D "Premname" Location Exclusion Analysis

Appendix E "detailSA" Location Exclusion Analysis

Appendix F "detailSA" Stop Factor Analysis

Appendix G Isolating Trespass as the Only Suspected Crime in the Proximity Stop

Appendix H All Stop Factors (Alone or in Combination) for Proximity Stops

Appendix I "detailEF" Analysis

Appendix J All Additional Circumstances (Alone or in Combination) for Proximity Stops Identified in Table 8

Appendix K Frequency of Bronx Proximity Stops by Precinct

APPENDIX A

Dr. Jeffrey Fagan *Curriculum Vitae*

CURRICULUM VITAE

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DOB: 17 December 1946
Tel: 718-875-3154 (h)
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PROFESSIONAL EXPERIENCE:

2011 – present: Isidor and Seville Sulzbacher Professor of Law, Columbia Law School
2001-2011: Professor, Columbia Law School
2010-11: Fellow, Straus Institute for the Advanced Study of Law and Justice, New York University School of Law
2010-present: Senior Research Scholar, Yale Law School
2009-10, 2013: Visiting Professor, Yale Law School
2004-present: Director, Center for Crime, Community and Law, Columbia Law School
2001-2006 Director, Doctor of Juridical Science in Law (JSD) Program, Columbia Law School
2008 – present: Faculty Fellow, Columbia Population Research Center
1999-present Faculty Fellow, Institute for Social and Economic Research and Policy, Columbia University
1998-2001: Visiting Professor, Columbia Law School
1996-present: Professor, Department of Epidemiology, Mailman School of Public Health, Columbia University
1995-2002: Founding Director, Center for Violence Research and Prevention, Mailman School of Public Health, Columbia University
1989-1996: Associate Professor to Professor, School of Criminal Justice, Rutgers-The State University of New Jersey
1988-1989: Associate Professor, Department of Law and Police Science, John Jay College of Criminal Justice, City University of New York; Associate Professor, Doctoral Program in Criminal Justice, City University of New York Graduate Center; Associate Director for Research, Criminal Justice Center, John Jay College of Criminal Justice, City University of New York
1986-1988: Senior Research Fellow, New York City Criminal Justice Agency.
1977-1986: Director, Center for Law and Social Policy, URSA Institute, San Francisco.
1975-1976: Research Director, Northern California Service League, San Francisco, California.
1974-1975: Associate Research Analyst, Office of Criminal Justice Planning, Oakland, California.
1970-1974: Director, College of Urban Studies, State University of New York at Buffalo.
1969-1971: Teaching Assistant and Research Associate, Department of Psychology, State University of New York at Buffalo

EDUCATION:

PhD, 1975, Policy Science, Department of Civil Engineering, State University of New York at Buffalo. Dissertation: "A Predictive Model of Success in Criminal Justice Employment Programs."
MS, 1971, Human Factors Engineering, Department of Industrial Engineering, State University

of New York at Buffalo.
BE, 1968, Industrial Engineering, New York University.

AWARDS AND HONORS:

Fellow, American Society of Criminology, elected April 2002
Fellow, Davenport College, Yale University
National Associate, National Research Council and Institute of Medicine, 2011 - present
Senior Justice Fellow, Open Society Institute, 2005-6
Health Policy Scholar Award, Robert Wood Johnson Foundation, 2002-2004
Book Award, "Best Book on Adolescence and Social Policy" for *Changing Borders of Juvenile Justice* (with F. Zimring), Society for Research on Adolescence, 2002
Public Interest Achievement Award, Public Interest Law Foundation of Columbia University, Spring 2001
Bruce Smith Senior Award, Academy for Criminal Justice Sciences, March 2000.
Lecturer, Hoffinger Colloquium, *Profiling and Consent: The Trouble with Police Consent Decrees*, New York University School of Law, April 2011
Lecturer, Fortunoff Colloquium, *Social Contagion of Violence*. New York University School of Law, April 1999
Fellow, Earl Warren Legal Institute, School of Law, University of California-Berkeley, 1999-present
University Faculty Merit Award, Rutgers University, 1990-94
Lecturer in Colloquium on Race, Ethnicity and Poverty Workshop, Center for the Study of Urban Inequality, University of Chicago, June 1992
External Examiner, Department of Sociology, University of Toronto, 1992
University Research Council Grantee, Rutgers University, 1989-90
Lecturer, Fortunoff Colloquium, *Preventive Detention and the Validity of Judicial Predictions of Dangerousness*. New York University School of Law, October, 1988
Delegate, Criminal Justice and Criminology Delegation to the People's Republic of China, Eisenhower Foundation, 1985
NDEA Title IV Fellowship, Department of Industrial Engineering, State University of New York at Buffalo, June 1968-June 1971

PUBLICATIONS:

Books:

Tyler, T., A. Braga, J. Fagan, et al. (eds.), *Legitimacy, Criminal Justice, and the State in Comparative Perspective*. New York: Russell Sage Foundation Press (2008).
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D. Baskin, I. Sommers, and J. Fagan, *Workin' Hard for the Money: The Social and Economic Lives of Women Drug Dealers*. Huntington NY: Nova Science Press (2000).

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- Fagan, J., Geller, A.B., and Zimring, F.E. "Race, Political Economy, and the Supply of Capital Cases." To be submitted to the *Journal of Criminal Law and Criminology*.
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- "Street Stops and Broken Windows Revisited: The Demography and Logic of Proactive Policing in a Safe and Changing City," (with A. Geller, G. Davies and V. West). Presented at the Annual Meeting of the Association for Public Policy and Management, Los Angeles, November 2008. Also presented at the Annual Meeting of the American Society of Criminology, St. Louis, November 2008.
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- "Community Risk Factors in Workplace Violence." Presented at the Symposium on Violence in the Workplace, New York Academy of Medicine, New York, March 1995.
- "Situational Contexts of Gun Use among Young Males." Presented at the Annual Meeting of the American Association for the Advancement of Science, Atlanta, February 1995, and at the Annual Meeting of the American Society of Criminology, Miami, November 1994.
- "The Social Control of Violence among Intimates: Neighborhood Influences on the Deterrent Effects of Arrest for Spouse Assault" (with J. Garner & C. Maxwell). Presented at the Annual Meeting of the American Society of Criminology, Miami, November 1994.
- "Crime, Drugs and Neighborhood Change: the Effects of Deindustrialization on Social Control in Inner Cities." Presented at the Annual Meeting of the American Association for the Advancement of Science, San Francisco, February 1994.
- "The Social Context of Deterrence." Plenary paper presented at the Annual Meeting of the American Society of Criminology, Phoenix, October 1993.
- "Doubling Up: Careers in Legal and Illegal Work." Presented at the Annual Meeting of the American Society of Criminology, Phoenix, October 1993.
- "Promises and Lies: The False Criminology of 'Islands in the Street.'" Presented at the Annual Meeting of the American Sociological Association, Miami, August 1993.
- "Deindustrialization and the Emergence of Youth Gangs in American Cities." Colloquium at the Institute of Politics, University of Pittsburgh, April 1993.
- "Women and Drugs Revisited: Female Participation in the Crack Economy." Colloquium at the Research Institute on the Addictions, State of New York, March 1993.
- "Neighborhood Effects on Gangs and Ganging: Ethnicity, Political Economy and Urban Change." Presented at the Annual Meeting of the American Society of Criminology, New Orleans, November 1992.
- "Enterprise and Ethnicity: Cultural and Economic Influence on Social Networks of Chinese Youth Gangs" (with K. Chin). Presented at the Annual Meeting of the American Society of Criminology, New Orleans, November 1992.
- "The Specific Deterrent Effects of Criminal Sanctions for Drug and Non-Drug Offenders." Presented at the Annual Meeting of the Law & Society Association, Philadelphia, May 1992.
- "The Changing Contexts of Drug-Violence Relationships for Adolescents and Adults." Presented at the Annual Meeting of the American Academy for the Advancement of Science, Washington DC, February 1991.
- "Youth Gangs as Social Networks." Presented at the Annual Meeting of the American Society of Criminology, Baltimore MD, November 1990.
- "Context and Contingency in Drug-Related Violence." Presented at the Annual Meeting of the American Psychological Association, Boston MA, August 1990.
- "The Dragon Breathes Fire: Chinese Organized Crime in New York City" (R. Kelly, K. Chin, and J. Fagan). Presented to the Political Sociology Faculty of the University of Florence, Firenze, Italy, May 1990.
- "The Political Economy of Drug Use and Drug Dealing among Urban Gangs (J. Fagan and A. Hamid). Presented at the Annual Meeting of the American Society of Criminology, Reno NV, November 1989.
- "The Comparative Impacts of Juvenile and Criminal Court Sanctions for Adolescent Felony Offenders" (J. Fagan and M. Schiff). Presented at the Annual Meeting of the American Society of Criminology, Reno NV, November 1989.
- "Symbolic and Substantive Effects of Waiver Legislation in New Jersey" (M. Schiff and J.

- Fagan). Presented at the Annual Meeting of the Law and Society Association, Vail CO, June, 1988.
- "The Predictive Validity of Judicial Determinations of Dangerousness: Preventive Detention of Juvenile Offenders in the Schall v. Martin Case" (J. Fagan and M. Guggenheim). Presented at the Annual Meeting of the American Society of Criminology, Montreal, Quebec, November, 1987; and, at the Fortunoff Colloquium Series, New York University School of Law, November, 1988.
- "The Comparative Effects of Legal and Social Sanctions in the Recurrence of Wife Abuse" (J. Fagan and S. Wexler). Presented at the Third National Conference on Family Violence Research, University of New Hampshire, Durham, NH, July, 1987
- "The Stability of Delinquency Correlates in Eight High Crime Neighborhoods" (J. Deslonde and J. Fagan). Presented at the 1986 Annual Conference of Blacks in Criminal Justice, Washington DC, March 1986
- "Complex Behaviors and Simple Measures: Understanding Violence in Families" (J. Fagan and S. Wexler). Presented at the Annual Meeting of the American Society of Criminology, San Diego, November, 1985
- "Social Ecology of Violent Delinquency" (J. Fagan, P. Kelly and M. Jang). Presented at Annual Meeting of the Academy of Criminal Justice Sciences, Chicago, IL, March, 1984.
- "Delinquent Careers of Chronically Violent Juvenile Offenders" (E. Hartstone, J. Fagan and M. Jang). Presented at Pacific Sociological Association, San Jose, CA, April 1983.
- "*Parens Patriae* and Juvenile Parole." Presented at the National Conference on Criminal Justice Evaluation, Washington, DC, November 1978.
- "Indigenous Justice: The San Francisco Community Board Program" (J. Fagan). Presented at the Annual Meeting of the American Society of Criminology, November 1977, Atlanta, Georgia.
- "An Assessment of the Impact of Treatment and Other Factors on Successful Completion of a Pretrial Intervention Program" (J. Fagan). Presented at the National Conference on Criminal Justice Evaluation, February 1977.

EXPERT TESTIMONY:

- David Floyd, et al. v. City of New York, et al.*, U.S. District Court, Southern District of New York, No. 08 Civ. 1034 (S.D.N.Y.)
- State v. Raheem Moore*, Circuit Court # 08CF05160, State of Wisconsin, Criminal Division, Milwaukee County
- Connecticut v Arnold Bell*, Docket # CR02-0005839, District Court of Connecticut, New Haven
- Jessica Gonzales v. United States*, Petition No. 1490-05, Inter Am. C.H.R., Report No. 52/07, OEA/Ser.L/V/II.128, doc. 19 (2007)
- U.S. v. Joseph Brown and Jose Lavandier*, U.S. District Court for the District of Vermont, Docket No. 2:06-CR-82-2
- United States v. Khalid Barnes*, 04 Cr. 186 (SCR), U.S. District Court for the Southern District of New York
- Loggins v.State*, 771 So. 2d 1070 (Ala. Crim. App. 1999)
- Truman-Smith v. Bryco Firearms et al.* (02-30239 (JBW)), and *Johnson v. Bryco Firearms et al.* (03-2582 (JBW)), Eastern District of New York
- U.S. v. Alan Quinones*, S3 00 Cr. 761 (JSR), Southern District of New York
- National Association for the Advancement of Colored People (NAACP) and National Spinal Cord Injury Association (NSCIA) v. American Arms Corporation, Accusport Corporation, et. al.*, Eastern District of New York, 99 CV 3999 (JBW), 99 CV7037 (JBW)

U.S. v. Durrell Caldwell, J-2045-00; J-2250-00, Family Division, Juvenile Branch, Superior Court of the District of Columbia

Nixon v. Commonwealth of Pennsylvania, Department of Public Welfare, 839 A.2d 277 (Pa. 2003)

National Congress of Puerto Rican Rights v. City of New York, 99 Civ. 1695 (SAS) (HBP)

State of Wisconsin v. Rodolfo Flores, 99-CF-2866, Circuit Branch 28 (Hon. Thomas R. Cooper)

State of Wisconsin v. Rolando Zavala, 97-CF-547, Circuit Branch 3 (Hon. Bruce E. Shroeder)

Hamilton v. Accu-Tek et al., 935 F. Supp. 1307 (E.D.N.Y. 1996)

U.S. v. Yohann Renwick Nelson, 920 F.Supp. 825 (M.D. Tenn., 1996)

OTHER PRESENTATIONS:

"Guns, Social Contagion, and Youth Violence." Presented at the Annual Conference of the Cuyahoga County Mental Health Institute, Case Western Reserve University, Cleveland, May 1998.

"The Future of the Criminal Law on Domestic Violence." Presented to the Governor's Criminal Justice Conference, Albany, New York, October 1996.

"Women, Law and Violence: Legal and Social Control of Domestic Violence." Presented at the 29th Semi-Annual Research Conference of the Institute for Law and Psychiatry, School of Law, University of Virginia, Charlottesville VA, November 1995.

"Punishment versus Treatment of Juvenile Offenders: Therapeutic Integrity and the Politics of Punishment," Delaware Council on Criminal Justice, Wilmington DE, October 1995.

Keynote Speaker, "The Criminalization of Domestic Violence: Promises and Limitations," National Conference on Criminal Justice Evaluation, National Institute of Justice, Washington DC, July 1995.

"Limits and Promises of New Jersey's Prevention of Domestic Abuse Act," Institute of Continuing Legal Education, Bar Association of the State of New Jersey, New Brunswick, July 1993.

"Technical Review on Alcohol and Violence," National Institute on Alcoholism and Alcohol Abuse, Rockville MD: May 1992.

Plenary Speaker, "Race and Class Conflicts in Juvenile Justice," Annual Meeting of the Juvenile Justice Advisory Groups, Washington DC, April 1991

Plenary Speaker, "Punishing Spouse Assault: Implications, Limitations and Ironies of Recent Experiments on Arrest Policies," Annual Meeting of the Society for the Study of Social Problems, Washington DC, August 1990.

"Drug Use, Drug Selling and Violence in the Inner City," Joint Center for Political Studies, Washington DC: November 1989.

"Technical Review on Drugs and Violence," National Institute on Drug Abuse, Rockville MD: September, 1989.

Carnegie Council on Adolescent Development, "Workshop on Adolescent Violence." Washington DC: May 1989.

"National Symposium on Families in Courts." National Judicial College, National Center for State Courts, and the American Bar Association (joint conveners). Reno NV, May 1989.

Plenary Panelist, "Delinquency Research in the 1990's." Annual Meeting of the Western Society of Criminology, Anaheim CA, February 1989.

Keynote Speaker, Philadelphia Coalition for Children and Youth, Juvenile Justice Conference, June, 1988

Ohio Governor's Task Force on Juvenile Violence, Statewide Conference on Gangs, May, 1988
OJJDP State Advisory Groups, Regional Workshops, 1982, 1987

Michigan Commission on Juvenile Justice, Symposium on Contemporary Programs in

Rehabilitation of Serious Juvenile Offenders, 1986
 Interagency Panel on Research and Development on Children and Adolescents, National Institute of Education, 1985, 1987
 Symposium on Addressing the Mental Health Needs of the Juvenile Justice Population, National Institute of Mental Health, 1985
 OJJDP/ADAMHA Joint Task Force on Serious Juvenile Offenders with Drug and Alcohol Abuse and Mental Health Problems, National Institute on Drug Abuse, 1984
 National Conference on Family Violence as a Crime Problem, National Institute of Justice, 1984
 Governor's Task Force on Juvenile Sex Offenders, California Youth Authority, Sacramento, CA, 1984
 Los Angeles County Medical Association, Los Angeles, California: Family Violence and Public Policy, 1983
 Minority Research Workshop, National Institute of Law Enforcement and Criminal Justice, LEAA, Department of Justice, 1979

TECHNICAL REPORTS (SELECTED):

Project Safe Neighborhoods in Chicago: Three Year Evaluation and Analysis of Neighborhood Level Crime Indicators, Final Technical Report (J. Fagan, A. Papachristos, T.L. Meares), Grant # 2004-GP-CX-0578, Bureau of Justice Assistance, U.S. Department of Justice (2006).
Social and Ecological Risks of Domestic and Non-Domestic Violence against Women in New York City (J. Fagan, J. Medina-Ariza, and S.A. Wilt). Final Report, Grant 1999-WT-VW-0005, National Institute of Justice, U.S. Department of Justice (2003).
The Comparative Impacts of Juvenile and Criminal Court Sanctions on Recidivism among Adolescent Felony Offenders (J. Fagan, A. Kupchik, and A. Liberman). Final Report, Grant 97-JN-FX-01, Office of Juvenile Justice and Delinquency Prevention (2003).
Drug Control in Public Housing: The Impact of New York City's Drug Elimination Program on Drugs and Crime (J. Fagan, J. Holland, T. Dumanovsky, and G. Davies). Final Report, Grant No. 034898, Substance Abuse Policy Research Program, Robert Wood Johnson Foundation (2003).
The Effects of Drug Enforcement on the Rise and Fall of Homicides in New York City, 1985-95 (J. Fagan). Final Report, Grant No. 031675, Substance Abuse Policy Research Program, Robert Wood Johnson Foundation (2002).
Getting to Death: Fairness and Efficiency in the Processing and Conclusion of Death Penalty Cases after Furman (J. Fagan, J. Liebman, A. Gelman, V. West, A. Kiss, and G. Davies). Final Technical Report, Grant 2000-IJ-CX-0035, National Institute of Justice (2002).
Analysis of NYPD AStop and Frisk Practices (J. Fagan, T. Dumanovsky, and A. Gelman). Office of the Attorney General, New York State, 1999 (contributed chapters and data analyses).
Situational Contexts of Gun Use by Young Males in Inner Cities (J. Fagan and D.L. Wilkinson). Final Technical Report, Grant SBR 9515327, National Science Foundation; Grant 96-IJ-CX-0021, National Institute of Justice; Grant R49/CCR211614, Centers for Disease Control and Prevention (NIH), 1999.
The Specific Deterrent Effects of Arrest on Domestic Violence (C. Maxwell, J. Garner and J. Fagan). Final Technical Report, Grant 93-IJ-CX-0021, National Institute of Justice, 1999.
The Epidemiology and Social Ecology of Violence In Public Housing (J. Fagan, T. Dumanovsky, J.P. Thompson, G. Winkel, and S. Saegert). National Consortium on Violence Research, National Science Foundation, 1998.
Reducing Injuries to Women in Domestic Assaults (J. Fagan, J. Garner, and C. Maxwell). Final

- Technical Report, Grant R49/CCR210534, Centers for Disease Control, National Institutes of Health, 1997.
- The Effectiveness of Restraining Orders for Domestic Violence* (J. Fagan, C. Maxwell, L. Macaluso, & C. Nahabedian). Final Technical Report, Administrative Office of the Courts, State of New Jersey, 1995.
- Gangs and Social Order in Chinatown: Extortion, Ethnicity and Enterprise* (K. Chin, J. Fagan, R. Kelly). Final Report, Grant 89-IJ-CX-0021 (S1), National Institute of Justice, U.S. Department of Justice, 1994.
- The Comparative Impacts of Juvenile and Criminal Court Sanctions for Adolescent Felony Offenders: Certainty, Severity and Effectiveness of Legal Intervention* (J. Fagan). Final Report, Grant 87-IJ-CX-4044, National Institute of Justice, U.S. Department of Justice, 1991.
- Final Report of the Violent Juvenile Offender Research and Development Program*, Grant 85-MU-AX-C001, U.S. Office of Juvenile Justice and Delinquency Prevention:
- *Volume I: Innovation and Experimentation in Juvenile Corrections: Implementing a Community Reintegration Model for Violent Juvenile Offenders* (J. Fagan and E. Hartstone), 1986.
 - *Volume II: Separating the Men from the Boys: The Transfer of Violent Delinquents to Criminal Court* (J. Fagan and M. Forst), 1987.
 - *Volume III: Rehabilitation and Reintegration of Violent Juvenile Offenders: Experimental Results* (J. Fagan, M. Forst and T. Scott Vivona), 1988.
- Drug and Alcohol Use, Violent Delinquency, and Social Bonding: Implications for Policy and Intervention* (J. Fagan, J.G. Weis, J. Watters, M. Jang, and Y. Cheng), Grant 85-IJ-CX-0056, National Institute of Justice, 1987.
- Minority Offenders and the Administration of Juvenile Justice in Colorado* (E. Slaughter, E. Hartstone, and J. Fagan). Denver: Colorado Division of Criminal Justice, 1986.
- Final Report: The Impact of Intensive Probation Supervision on Violent Juvenile Offenders in the Transition Phase Adolescence to Adulthood* (J. Fagan and C. Reinerman), Grant 82-IJ-CX-K008, National Institute of Justice, 1986.
- Final Report: National Family Violence Evaluation* (J. Fagan, E. Friedman, and S. Wexler), Grant 80-JN-AX-0004, Office of Juvenile Justice and Delinquency Prevention, 1984. (Also, three interim reports: History and Development, Process Analysis, Client and Program Characteristics.)
- A Resident Mobilization Strategy for Prevention of Violent Juvenile Crime* (J. Deslonde, J. Fagan, P. Kelly, and D. Broussard). San Francisco: The URSA Institute, 1983.
- Background Paper for the Violent Juvenile Offender Research and Development Program* (J. Fagan, S. Jones, E. Hartstone, & C. Rudman), Washington, DC: Office of Juvenile Justice and Delinquency Prevention, April 1981.

EDITORIAL:

Senior Editor, *Criminology and Public Policy*, 2001 - present
 Advisory Board, *Family and Child Law Abstracts*, Legal Scholarship Network, 1999-present
 Editorial Advisory Board, *Journal of Criminal Law and Criminology*, 1996-present
 Editorial Board, *Criminology*, 1997-2001
 Editorial Board, *Journal of Quantitative Criminology*, 2001-present
 Editorial Board, *Crime and Justice: A Review of Research*, 1998-present
 Editorial Board, *Journal of Research in Crime and Delinquency*, 1997-present
 Editor, *Journal of Research in Crime and Delinquency*, 1990 - 1995
 Editor, *Contemporary Drug Problems*, Special Issues on Crack (Winter 1989, Spring 1990)

Co-Editor, *Oxford Readers in Crime and Justice* (w. Michael Tonry), Oxford University Press, 1994-95

ADVISORY BOARDS AND COMMITTEES:

Research Advisory Board, The Innocence Project (2009 – present)
 Committee on Law and Justice, National Academy of Sciences (2000-2006) (Vice Chair, 2004-6)
 Member, Committee to Review Research on Police Policy and Practices, National Research Council, National Research Council (2001-2003)
 Working Group on Law, Legitimacy and the Production of Justice, Russell Sage Foundation (2000-present)
 Working Group on Incarceration, Russell Sage Foundation (2000-2006)
 Academic Advisory Council, National Campaign Against Youth Violence (The White House) (1999-2001)
 Fellow, Aspen Roundtable on Race and Community Revitalization (1999 - 2001)
 Fellow, Earl Warren Legal Institute, University of California School of Law (1998 - present)
 Research Network on Adolescent Development and Juvenile Justice, MacArthur Foundation (1996-2006)
 National Consortium on Violence Research, Carnegie Mellon University (NSF) (1996-present)
 Committee on the Assessment of Family Violence Interventions, National Research Council, National Academy of Sciences (1994-1998)
 Advisory Board, Evaluation of the Comprehensive Gang Intervention Program, University of Chicago (1997-present)
 Committee on Opportunities in Drug Abuse Research, Institute of Medicine, National Academy of Sciences (Special Consultant) (1995 - 1996).
 Initial Review Group, Violence and Traumatic Stress Research Branch, National Institute of Mental Health, National Institute of Health (1994-1998)
 Chair, Working Group on the Ecology of Crime in Inner Cities, Committee for Research on the Urban Underclass, Social Science Research Council (1989-1994)
 Advisory Board, Evaluation of the Jobs Corps, U.S. Department of Labor (1993-present)
 Advisory Board, National Service Action Corps, Robert F. Kennedy Memorial (1993-1997)
 Advisory Board, Evaluation of Family Violence Prevention and Services Act, The Urban Institute (1993-1994)
 Scientific Core Group, Program on Human Development and Criminal Behavior, MacArthur Foundation (1991-1992)
 Injury Control Panel on Violence Prevention, Centers for Disease Control and Prevention, U.S. Department of Health and Human Services (1990-1991)
 Princeton Working Group on Alternatives to Drug Prohibition, Woodrow Wilson School of Public and International Affairs, Princeton University (1990-1994)
 Racial Disparities in Juvenile Justice, Pennsylvania Juvenile Court Judges Commission (1991-92)
 Racial Disparities in Juvenile Justice, Missouri Department of Law and Public Safety (1990-91)
 Conditions of Confinement of Juveniles, National Institute for Juvenile Justice and Delinquency Prevention (1990-1992)
 Research Program on "Linking Lifetimes -- Intergenerational Mentoring for Youths at Risk and Young Offenders," Temple University (1989-91)
 Research Program on Juvenile Court Sanctions for Family Violence, National Council of Juvenile and Family Court Judges, Bureau of Justice Assistance, U.S. Department of Justice (1987-1988)
 School Crime Research and Development Program, Office of Juvenile Justice and Delinquency

Prevention, National Institute for Juvenile Justice and Delinquency Prevention (1986-1988)
 Research and Development Project on Sexually Exploited Children, Tufts University, New
 England Medical Center Hospital, Boston, MA (1980-83)
 Administration of Justice Program, National Urban League, New York, NY (1982-1987)

PROFESSIONAL ASSOCIATIONS:

American Society of Criminology
 American Sociological Association
 Law and Society Association
 American Association for the Advancement of Science
 American Public Health Association

RESEARCH GRANTS:

Street Stops and Police Legitimacy, Grant 2010-IJ-CX-0025 from the National Institute of Justice, U.S. Department of Justice, subcontract from New York University, 2011 – present
 Principal Investigator, “Evaluation of Project Safe Neighborhoods in Chicago,” May 2004 – September 2010, Grant # 2004-GP-CX-0578, Bureau of Justice Assistance, Office of Justice Programs, U.S. Department of Justice.
 Principal Investigator, “Capital Sentencing of Adolescent Murder Defendants,” March – December 2004, Grant #20012433 from the Open Society Institute. Additional support from the Wallace Global Fund.
 Principal Investigator, “Legitimacy, Accountability, and Social Order: Majority and Minority Community Perspectives on the Law and Legal Authorities,” September 2002 - August 2003, Russell Sage Foundation.
 Principal Investigator, “Social Contagion of Violence,” Investigator Awards in Health Policy Program, Robert Wood Johnson Foundation, September 2002 – June 2004
 Principal Investigator, “Getting to Death: Fairness and Efficiency in the Processing and Conclusion of Death Penalty Cases after Furman,” Grant #2000-IJ-CX-0035, September 2000 - August 2001, National Institute of Justice, U.S. Department of Justice.
 Co-Principal Investigator, “Columbia Center for the Study and Prevention of Youth Violence,” Grant R49-CCR218598, October 1, 2000 - September 30, 2005, Centers for Disease Control, U.S. Department of Health and Human Services.
 Principal Investigator, “Neighborhood Effects on Legal Socialization of Adolescents,” John D. and Catherine T. MacArthur Foundation, October 1, 2000 - September, 30, 2002.
 Principal Investigator, “Violence Prevention through Legal Socialization,” 1 R01-HD-40084-01, October 1, 2000 - September 30, 2003, National Institute of Child and Human Development, U.S. Department of Health and Human Services.
 Principal Investigator, “The Effects Of Incarceration On Crime And Work In New York City: Individual And Neighborhood Impacts,” Russell Sage Foundation, Grant 85-00-11, September 2000 - August 2002.
 Principal Investigator, “Community Courts And Community Ecology: A Study of The Red Hook Community Justice Center,” Grant 2000-MU-AX-0006, June 1, 2000 - December 31, 2002, National Institute of Justice, U.S. Department of Justice.
 Principal Investigator, “Age, Crime and Sanction: The Effect of Juvenile Versus Adult Court Jurisdiction on Age-specific Crime Rates of Adolescent Offenders,” Grant JR-VX-0002, June 1999 - August 2000, Office of Juvenile Justice and Delinquency Prevention, U.S. Department

- of Justice.
- Principal Investigator, "Social and Ecological Risks of Domestic and Non-domestic Violence Against Women in New York City," Grant WT-VX-0005, April 1999 - December 2000, National Institute of Justice, U.S. Department of Justice.
- Principal Investigator, "Drug Control in Public Housing: An Evaluation of the Drug Elimination Program of the New York City Public Housing Authority," September 1998 - August 2001, Robert Wood Johnson Foundation.
- Principal Investigator, "The Criminalization of Delinquency: Comparative Impacts of Juvenile and Criminal Court Sanctions on Adolescent Felony Offenders," March 1997 - September 2000, Office of Juvenile Justice and Delinquency Prevention, Annie E. Casey Foundation, Open Society Institute.
- Co-Principal Investigator, "Post-Traumatic Stress Among Police," October 1997 - April 2000, National Institute of Mental Health, 1 R01 MH56350-01, National Institute of Health (subcontract from University of California at San Francisco).
- Principal Investigator, "The Rise and Fall of Drug-Related Homicides in New York City: 1985-95," July 1997 - June 2000, Robert Wood Johnson Foundation.
- Principal Investigator, "Lethal and Non-Lethal Violence: Individual, Social and Neighborhood Risk Factors," October 1996 - September 1999, Centers for Disease Control and Prevention, National Institute of Health, R49/CCR212753-01; National Institute of Justice, 97-IJ-CX-0013.
- Principal Investigator, "The Situational Context of Gun Use by Young Males," October 1995 - January 1998, National Science Foundation, SBR-9515327; National Institute of Justice, 96-IJ-CX-0021; Centers for Disease Control and Prevention (NIH) R49/CCR211614.
- Principal Investigator, "The Situational Context of Gun Use by Young Males in Inner Cities," February 1995 - August 1996, The Harry Frank Guggenheim Foundation.
- Principal Investigator, "Reducing Injuries to Women from Spouse Assault," September 1994 - February 1996, Centers for Disease Control and Prevention, National Institute of Health, R49/CCR210534-01.
- Co-Principal Investigator, "Crime Commission Rates of Incarcerated Prisoners: Estimates from the Second Generation of Inmate Surveys," June 1994 - February 1995, National Institute of Justice, 94-IJ-CX-0017.
- Principal Investigator, "Impacts of Arrest on the Social Control of Violence Among Intimates," October 1993 - June 1994, National Institute of Justice, 93-IJ-CX-0021.
- Principal Investigator, "The Role of Legal and Social Controls in Controlling Violence among Intimates," July 1993 - December 1994, The Harry Frank Guggenheim Foundation.
- Co-Principal Investigator, "Measuring the Use of Force by Police," September 1993 - August 1994, National Institute of Justice, 92-IJ-CX-K028.
- Co-Principal Investigator, "Female Participation in Drug Selling," September 1992 - August 1994, National Science Foundation, SES-92-07761. Also supported by the Rockefeller Foundation.
- Principal Investigator, "Civil and Criminal Sanctions for Domestic Violence," June 1992 - September 1994 Administrative Office of the Courts, State of New Jersey.
- Co-Principal Investigator, "Careers in Crack, Drug Use and Distribution, and Non-Drug Crime," February 1991 - January 1993, National Institute on Drug Abuse, National Institute of Health, 1R01-DA-06615-01.
- Principal Investigator, "Patterns of Organized Crime Activities among Asian Businesses in the New York Metropolitan Area," October 1989 - March 1991, National Institute of Justice, 89-IJ-CX-0021.
- Principal Investigator, "Desistance from Family Violence," July 1990 - January 1992, The Harry Frank Guggenheim Foundation.

Principal Investigator, "Pipeline Study for a Field Experiment on Drug Testing in Community Corrections," June-December, 1990, National Institute of Justice, 90-IJ-R-026

Principal Investigator, "Changing Patterns of Drug Abuse and Criminality among Crack Users," December 1987 - September 1989, National Institute of Justice, 87-IJ-CX-0064-S1.

Principal Investigator, "The Comparative Impacts of Criminal and Juvenile Sanctions for Adolescent Felony Offenders," October 1987 - September 1989, National Institute of Justice, 87-IJ-CX-4044.

Principal Investigator, "Drug Abuse and Delinquency among Dropouts and Gang Members: A Secondary Analysis," October 1987 - December 1988, National Institute for Juvenile Justice and Delinquency Prevention, 87-JN-CX-0012.

Principal Investigator, "Drug and Alcohol Use, Violent Delinquency, and Social Bonding," October 1985 - December 1986, National Institute of Justice, 85-IJ-CX-0056.

Principal Investigator, "Violent Juvenile Offender Research and Development Program," November 1980 - June 1987, National Institute for Juvenile Justice and Delinquency Prevention, 80-JN-AX-0012, 85-MU-CX-0001.

Principal Investigator, Preventive Detention and the Prediction of Dangerousness Among Juveniles: Pretrial Crime and Criminal Careers in the *Schall v. Martin* Cohort, New York City Criminal Justice Agency.

Principal Investigator, "AIDS Community Education Effectiveness Study," January 1986 - June 1987, California Department of Health, Grant D0056-86.

Principal Investigator, "Longitudinal Evaluation of Intensive Probation Supervision for Violent Offenders," October 1982 - June 1985, National Institute of Justice, 82-IJ-CX-K008.

Principal Investigator, National Evaluation of the LEAA Family Violence Program," October 1978 -January 1984, National Institute for Juvenile Justice and Delinquency Prevention, 80-JN-AX-0003.

PEER REVIEW:**Scholarly Journals**

Law and Society Review
Journal of Contemporary Ethnography
American Sociological Review
Crime and Justice: An Annual Review of Research
Sociological Methods and Research
Justice Quarterly
Violence and Victims
Social Science Quarterly

Social Problems
American Journal of Sociology
Journal of Drug Issues
Journal of Quantitative Criminology
Journal of Criminal Justice
Alcohol Health and Research World
Criminal Justice Ethics
Contemporary Drug Problems

University Presses

Rutgers University Press
State University of New York Press
Temple University Press
University of Chicago Press

Cambridge University Press
Oxford University Press
Princeton University Press

Other Presses

MacMillan Publishing
St. Martins Press

Greenwood Publications
Sage Publications

Research Grant Reviews

National Institute on Mental Health, Violence and Traumatic Stress Branch

Centers for Disease Control and Prevention, National Center for Injury Prevention and Control,
USPHS
Law and Social Science Program, National Science Foundation
Sociology Program, National Science Foundation
National Institute on Drug Abuse, Prevention Branch
National Institute on Drug Abuse, Epidemiology Branch
National Institute of Justice
Office of Juvenile Justice and Delinquency Prevention
The Carnegie Corporation of New York
The W.T. Grant Foundation

COURSES TAUGHT:

Seminar on Incarceration
Seminar on Policing
Criminal Law
Capital Punishment
Empirical Analysis of Law
Juvenile Justice
Pro-Seminar on Race, Crime and Law
Pro-Seminar on Community Justice and Problem-Solving Courts
Seminar on Regulation in the Criminal Law
Law and Social Science
Seminar on Criminology
Foundations of Scholarship
Seminar on Violent Behavior
Seminar on Drugs, Law and Policy
Seminar on Communities and Crime
Research Methods in Criminal Justice and Criminology
Advanced Research Methods
Qualitative Research Methods
Criminal Justice Policy Analysis
Administration of Juvenile Corrections
Research Methods
Seminar on Deterrence and Crime Control Theory

CONSULTATIONS:

New Jersey Commission on Law Enforcement Standards and Practices, 2006-7
London School of Economics, Urban Age Colloquium, 2005
Inter-American Development Bank, Urban Security and Community Development, 2002-3
Trans.Cité (Paris, France), Security in Public Transportation, 2002
Institute for Scientific Analysis, Domestic Violence and Pregnancy Project, 1995-96
Department of Psychology, University of Wisconsin (Professor Terrie Moffitt), 1995-1999
National Funding Collaborative for Violence Prevention (Consortium of foundations), 1995
National Council on Crime and Delinquency, 1989-94

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Victim Services Agency, City of New York, 1994-2000
National Conference of State Legislatures, 1994-2001
U.S. Department of Labor, 1994
City of Pittsburgh, Office of the Mayor, 1994
Center for the Study and Prevention of Violence, Colorado University, 1993 - 2000
Washington (State) Department of Health and Rehabilitative Services, 1993
National Council of Juvenile and Family Court Judges, 1993
Center for Research on Crime and Delinquency, Ohio State University, 1992, 1993
New York City Criminal Justice Agency, 1992, 1993
Violence Prevention Network, Carnegie Corporation, 1992-3
Research Triangle Institute, 1993
National Institute of Corrections, 1992, 1993
Colorado Division of Criminal Justice, 1991
Juvenile Delinquency Commission, State of New Jersey, 1991
University of South Florida, Dept. of Criminology, 1991-92
Florida Mental Health Institute, 1991
Rand Corporation, 1991-92
Juvenile Corrections Leadership Forum, 1990
Texas Youth Commission, 1990
California State Advisory Group on Juvenile Justice, 1989
New York State Division of Criminal Justice Services, Family Court Study, 1989
Juvenile Law Center, Philadelphia, 1988
American Correctional Association, 1988
Institute for Court Management, National Center for State Courts, 1987-present
Correctional Association of New York, 1987
Eisenhower Foundation, Washington DC, 1987-1990
New York City Department of Juvenile Justice, 1987-1990
Juvenile Justice and Delinquency Prevention Council, Colorado Division of Criminal Justice,
1983-87
Office of Criminal Justice Services, State of Ohio, 1983
Utah Youth Corrections Division, Salt Lake City, Utah, 1982
Office of Criminal Justice, State of Michigan, 1982, 1986
National Center for the Prevention and Control of Rape, NIMH, 1980

SERVICE:

Columbia University

University Senate, Mailman School of Public Health, 2003-present
Director, JSD Program, Columbia Law School, 2001-present

Professional

Chair, Sutherland Award Committee, American Society of Criminology, 2006-7
Chair, National Policy Committee, American Society of Criminology, 2002-2003
Delegate from the American Society of Criminology to the American Association for the
Advancement of Science, 1995-1999
Executive Counselor, American Society of Criminology, 1994-97
Chair, Nominations Committee, American Society of Criminology, 1995-96.
Counsel, Crime, Law and Deviance Section, American Sociological Association, 1993-94
Nominations Committee, American Society of Criminology, 1993-94

Jeffrey Fagan
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Site Selection Committee, American Society of Criminology, 1992
Program Committee, American Society of Criminology, 1988, 1990, 2000
Awards Committee, Western Society of Criminology, 1988

Public

Domestic Violence Working Group, New Jersey Administrative Office of the Courts, 1991- 1998
Prevention Task Force, New Jersey Governor's Commission on Drug and Alcohol Abuse, 1990

State Judicial Conference, State of New Jersey, Administrative Office of the Courts, 1990
Task Force on Youth Gangs, State of New York, Division for Youth, 1989-90

APPENDIX B

UF-250 Form



**STOP, QUESTION AND FRISK
REPORT WORKSHEET**
PD344-151A (Rev. 11-02)

(COMPLETE ALL CAPTIONS)

| | |
|---|-------------------------------------|
| Pct. Serial No. | |
| Date | Pct. Of Occ. |
| Time Of Stop | Period Of Observation Prior To Stop |
| Radio Run/Sprint # | |
| Address/Intersection Or Cross Streets Of Stop | |
| <input type="checkbox"/> Inside | <input type="checkbox"/> Transit |
| <input type="checkbox"/> Outside | <input type="checkbox"/> Housing |
| Type Of Location | Describe: |
| Specify Which Felony/P.L. Misdemeanor Suspected | |
| Duration Of Stop | |

What Were Circumstances Which Led To Stop?

(MUST CHECK AT LEAST ONE BOX)

- | | |
|--|--|
| <input type="checkbox"/> Carrying Objects In Plain View Used In Commission Of Crime e.g., Slim Jim/Pry Bar, etc. | <input type="checkbox"/> Actions Indicative Of Engaging In Drug Transaction, |
| <input type="checkbox"/> Fits Description: | <input type="checkbox"/> Furtive Movements. |
| <input type="checkbox"/> Actions Indicative Of "Casing" Victim Or Location. | <input type="checkbox"/> Actions Indicative Of Engaging In Violent Crimes. |
| <input type="checkbox"/> Actions Indicative Of Acting As A Lookout. | <input type="checkbox"/> Wearing Clothes/Disguises Commonly Used In Commission Of Crime. |
| <input type="checkbox"/> Suspicious Bulge/Object (Describe) | |
| <input type="checkbox"/> Other Reasonable Suspicion Of Criminal Activity (Specify) | |

| | | |
|--|---|---------------|
| Name Of Person Stopped | Nickname/ Street Name | Date Of Birth |
| Address | Apt. No. | Tel. No. |
| Identification: <input type="checkbox"/> Verbal <input type="checkbox"/> Photo I.D. <input type="checkbox"/> Refused | | |
| <input type="checkbox"/> Other (Specify) | | |
| Sex: <input type="checkbox"/> Male | Race: <input type="checkbox"/> White <input type="checkbox"/> Black <input type="checkbox"/> White Hispanic <input type="checkbox"/> Black Hispanic | |
| <input type="checkbox"/> Female | <input type="checkbox"/> Asian/Pacific Islander <input type="checkbox"/> American Indian/Alaskan Native | |
| Age | Height | Weight |
| | Hair | Eyes |
| | | Build |

Other (Scars, Tattoos, Etc.)

Did Officer Explain? If No, Explain:

Reason For Stop

☐ Yes ☐ No

| | | |
|---|--|-------------------------------|
| Were Other Persons Stopped/ Questioned/Frisked? | <input type="checkbox"/> Yes <input type="checkbox"/> No | If Yes, List Pct. Serial Nos. |
|---|--|-------------------------------|

If Physical Force Was Used, Indicate Type:

- | | |
|--|---|
| <input type="checkbox"/> Hands On Suspect | <input type="checkbox"/> Drawing Firearm |
| <input type="checkbox"/> Suspect On Ground | <input type="checkbox"/> Baton |
| <input type="checkbox"/> Pointing Firearm At Suspect | <input type="checkbox"/> Pepper Spray |
| <input type="checkbox"/> Handcuffing Suspect | <input type="checkbox"/> Other (Describe) |
| <input type="checkbox"/> Suspect Against Wall/Car | |

| | | |
|--|---------------------------------|--|
| Was Suspect Arrested? | Offense | Arrest No. |
| <input type="checkbox"/> Yes <input type="checkbox"/> No | | |
| Was Summons Issued? | Offense | Summons No. |
| <input type="checkbox"/> Yes <input type="checkbox"/> No | | |
| Officer In Uniform? | If No, How Identified? | <input type="checkbox"/> Shield <input type="checkbox"/> I.D. Card |
| <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Verbal | |

Was Person Frisked? ☐ Yes ☐ No IF YES, MUST CHECK AT LEAST ONE BOX

☐ Inappropriate Attire - Possibly Concealing Weapon

☐ Furtive Movements

☐ Refusal To Comply With Officer's Direction(s)
Leading To Reasonable Fear For Safety

☐ Verbal Threats Of Violence By Suspect

☐ Actions Indicative Of

☐ Violent Crime Suspected

☐ Knowledge Of Suspects Prior Criminal

Engaging In Violent
Crimes

☐ Suspicious Bulge/Object (Describe)

Violent Behavior/Use Of Force/Use Of Weapon

☐ Other Reasonable Suspicion of Weapons (Specify)

Was Person Searched? ☐ Yes ☐ No IF YES, MUST CHECK AT LEAST ONE BOX ☐ Hard Object ☐ Admission Of Weapons Possession

☐ Outline Of Weapon ☐ Other Reasonable Suspicion of Weapons (Specify)

Was Weapon Found? ☐ Yes ☐ No If Yes, Describe: ☐ Pistol/Revolver ☐ Rifle/Shotgun ☐ Assault Weapon ☐ Knife/Cutting Instrument

☐ Machine Gun ☐ Other (Describe)

Was Other Contraband Found? ☐ Yes ☐ No If Yes, Describe Contraband And Location

Demeanor Of Person After Being Stopped

Remarks Made By Person Stopped

Additional Circumstances/Factors: (Check All That Apply)

☐ Report From Victim/Witness

☐ Area Has High Incidence Of Reported Offense Of Type Under Investigation

☐ Time Of Day, Day Of Week, Season Corresponding To Reports Of
Criminal Activity

☐ Suspect Is Associating With Persons Known For Their Criminal Activity

☐ Proximity To Crime Location

☐ Other (Describe)

☐ Evasive, False Or Inconsistent Response To Officer's Questions

☐ Changing Direction At Sight Of Officer/Flight

☐ Ongoing Investigations, e.g., Robbery Pattern

☐ Sights And Sounds Of Criminal Activity, e.g., Bloodstains, Ringing
Alarms

Pcl. Serial No. _____ Additional Reports Prepared: Complaint Rpt. No. _____ Juvenile Rpt. No. _____ Aided Rpt. No. _____ Other Rpt. (Specify) _____

REPORTED BY: Rank, Name (Last, First, M.I.)

Print _____ Tax# _____

Signature _____ Command _____

REVIEWED BY: Rank, Name (Last, First, M.I.)

Print _____ Tax# _____

Signature _____ Command _____

APPENDIX C**Identifying Trespass Stops****Criteria for identifying Trespass Stops**

- 1) "detailCM"=31
- 2) "crimsusp" included any selected character string*
- 3) "detailSA" included any selected character string*

Counts

| detailCM | crimsusp | detailSA | count |
|---------------|----------|----------|---------------|
| X | X | | 6,578 |
| X | X | X | 459 |
| X | | | 7,602 |
| X | | X | 723 |
| | X | | 75 |
| | X | X | 5 |
| | | X | 47 |
| total: | | | 15,489 |

*** Character Strings**

| | | |
|-----------------------|-------------------------|--------------------|
| CRIM TRESS | CRIM TRESS | CRIMIAL TRESPASS |
| CRIM TRES | CRIM TRES (MISD) | CRIMINAL TRESPASS |
| CRIM. TRESP. | 140.15 | CRIMINAL TRESPASS |
| CRIM TRESPASS | 140.1 | CRIMINAL TRES |
| CRIMINAL TRESPASS | 140.10 | CRIMINAL TRESSASS |
| CRIMINAL TRESPASSING | 140.17 | CRIMINAL TRESPAS |
| TRESPASS | MIS/CRIM TRES | CRIMINAL TRESPASSS |
| TRESPASSING | MISD/ CRIMINAL TRESPASS | CRIMINAL TRSPASS |
| C/T | MISD/CRIM TRES | CRIMINALTRESPASS |
| CT | MISD/CRIM TRESPASS | CRIMINIAL TRESPASS |
| TRES | CRIM TRESPASS (MISD) | CRIMINLA TRESPASS |
| TRES(MIS)" | CRIM RESPASSING | CRIMNAL TRESPASS |
| C.TRES | CRIM TRESS (MISD) | CRIMTRES |
| CRIM TRE | CRIM TRESS PASS | CRIMTRESSPASS |
| CRIM TREPASS | CRIM TRESS- MISD | CRININAL TRESPASS |
| CRIM TRES (MISD) | CRIM TRESS/FEL | CRMINAL TRESPASS |
| CRIM TRESP | CRIM TRESSPASS | CT 2 |
| CRIMINAL TREPASS | CRIM-TRES | CT2 |
| CRIMINAL TRESSPASS | CRIM. TRES. | CTRES |
| CRIMINAL TRESSPASSING | CRIM. TRES | MIS/CRIM TRES |
| CRI MTRES | CRIM. TRESPASS | MIS/TRES |
| CRIM TRES | CRIM. TRESSPASS | |

APPENDIX D**"Premname" Location Exclusion Analysis****Text Strings from "Premname" field—
Stops Excluded from Data Set****Category****Inside**

12 FLOOR
 1ST FL
 3RD FL
 4TH FL
 5 FLOOR HALLW
 5TH FL
 5TH FL HALLWA
 APT LOBBY
 BASEMENT HALL
 FORYER
 FOYER
 HALL
 HALLWAY
 HOUSING 4 FLO
 LOBBY
 LOBBY BUILDIN
 LOBBY(GATED A
 THIRD FL
 VESTIBULE
 VESTIBULE OF
 VESTIVUE
 VESTUBLE

Park

PARK
 PARK / PLAYGR
 PARK AREA
 PLAY PARK ARE
 PUBLIC PARK
 SCHOOL PARK

Roof

ROOF
 ROOF LANDIND
 ROOF TOP
 ROOF TPP
 ROOFLANDING
 ROOFTOP

APPENDIX E

"detailSA" Location Exclusion Analysis

Text Strings from "Other" Stop Factor – Stops Excluded from Data Set

Inside

ACTING SUSPICIOUS IN LOBBY
CRIM TRES VESTIBULE
CRIM TRESPASS -LOITERING IN HALLWAY
CRIMINAL TRESPASS IN CLEAN HALLS
DRINKING IN BUILDING
DRINKING IN REAR OF BUILDING
GOING UP AND DOWN THE STAIRS IN CLEAN HALLS
HALLWAY
HANGING OUT IN CLEAN HALLS BLDG
HANGING AROUND STAIRS/NOISE
HANGING OUT IN LOBBY
HANGING OUT IN LOBBY, THEN EXIT BUILDING
HANGING OUT IN LOBBY, THEN EXIT BUILDING WHEN S
HANGING OUT IN THE HALLWAY
HANGING OUT OF CLEAN HALLS BUILDING LOBBY
HANGINGOUT ON THE 3TH FLOOR
HANGONG OUT IN LOBBY
I/O CLEAN HALLS LOCATION SITTING ON STAIRS
IN A CLEAN HALLS BUILDING
IN CLEAN HALLS LOBBY
IN HALLWAY OF BLDG DRINKING
IN LOBBY CLEAN HALLS
IN LOBBY OF BLDG
IN LOBBY OF BUILDING
IN LOBBY OF CLEAN HALL BUILDING
IN THE HALLWAY OF A CLEAN HALLS BUILDING
INSIDE A CLEAN HALLS BLDG
INSIDE CLEAN HALLS
INSIDE CLEAN HALLS BLDG
INSIDE CLEAN HALLS BUILDING
INSIDE DOORWAY OF CLEAN HALLS BLDG
KNOCKING ON APT DOORS
LITERING IN HALLWAY
LOIERING ON CLEAN HALLS BLDG
LOITERING IN HALLWAY
LOITERING I/I/O BUILDING
LOITERING I/S BUILDING
LOITERING IN BLDG
LOITERING IN BUILDING
LOITERING IN BUILDING HALLWAY
LOITERING IN CLEAN HALL BUILDING
LOITERING IN CLEAN HALLS
LOITERING IN CLEAN HALLS BLDG

Data Appendices

LOITERING IN HALLS
LOITERING IN HALLWAY
LOITERING IN LOBBY
LOITERING IN MAIN LOBBY BLDG
LOITERING IN STAIRWELL
LOITERING IN VESTIBULE OF BLDG
LOITERING INSIDE OF LOBBY
LOITERING ON STAIRS OF BUILDING
LOITERING STAIRWELL
OBSERVED HANGING IN LOBBY THEN LEAVE WHEN P.D.
OBSERVED IN CLEAN HALLS
OBSERVED IN CLEAN HALLS BUILDING
OBSERVED IN LOBBY OF NYPD CLEAN HALLS
OBSERVED INSIDE CLEAN HALLS BLDG
OBSERVED INSIDE CLEAN HALLS BLDG
OBSERVED INSIDE CLEAN HALLS BLDG
OBSERVED LOITERING IN BUILDING LOBBY
OBSERVED MALE INSIDE CLEAN HALLS BLDG
PRESENT IN CLEAN HALLS BLDG
SITTING ON STAIRCASE
SMOKING INSIDE BUILDING
STAIRWELL DRINKING
STANDING IN VESTIBULE OF BUILDING
STANDING IN 3RD FLOOR STAIRS
STANDING IN BLDG
STANDING IN CLEAN HALLS BLDG
STANDING IN CLEAN HALLS BUILDING
STANDING IN HALLWAY OF CLEAN HALLS BUILDING
STANDING IN LOBBY
STANDING IN VESTIBULE OF BUILDING
STANDING INSIDE BLDG
STANDING INSIDE BUILDING
STANDING INSIDE CLEAN HALLS LOCATION
STANDING INSIDE THE BUILDING FOR 5 MIN W/O RING
STANDING ON STAIRS
SUSPECT OBSERVED INSIDE A CLEAN HALL BUILDING
SUSPECT OBSERVED INSIDE CLEAN HALL BUILDING
WAITING IN LOBBY FOR A FRIEND
WALKING IN CLEAN HALLS BUILDING

Park

IN PARK AFTER DARK
STANDING IN PARK ENTRANCE AFTER DARK
WALKING IN MOTT PARK WHILE CLOSED
WALKING THROUGH MOTT PARK
WALKING THROUGH PLAYGROUND AFTER CLOSING

Roof

ON STAIRCASE BY THE ROOF
ROOF OF CLEAN HALLS
SEEN ON ROOF
SEEN ON THE ROOF

APPENDIX F**"detailSA" Stop Factor Analysis
Text Strings from "Other" Stop Factor**

| Category | N of Stops |
|--|-------------------|
| Change Direction | 7 |
| CHANGE DIRECTION AFTER SIGHT OF OFFICERS | 1 |
| CHANGE DIRECTION OF FLIGHT | 1 |
| CHANGING DIRECTION @ SIGHT OF PO | 1 |
| CHANGING DIRECTION AT SIGHT OF OFFICER | 1 |
| FLEEING PO'S | 1 |
| IN CLEAN HALLS RAN WHEN SAW OFFICER | 1 |
| RUNNING AT SIGHT OF OFFICERS | 1 |
| Evasive Actions | 3 |
| DID NOT WANT TO ANSWER QUESTIONS TO CONFIRM HE | 1 |
| EVAVISE RESPOND TO POLICE | 1 |
| SUSPECT MADE INCONSISTENT STATEMENTS | 1 |
| High Crime Area | 8 |
| BUILDING HISTORY OF DRUG SALE | 3 |
| BURGLARY PATTERN | 1 |
| HIGH CRIME AREA | 1 |
| INTEL ABOUT DRUG PRONE LOCATION | 1 |
| ROBBERY PRONE AREA | 1 |
| ROBBERY PRONE LOCATION | 1 |
| Time of Day | 1 |
| TIME OF DAY | 1 |
| Clean Halls/Trespass | 399 |
| C.T. | 4 |
| CELAN HALLS | 1 |
| CJLEAN HALLS BLDG | 1 |
| CLAN HALLS PROGRAM | 1 |
| CLEAN HALLS | 2 |
| CLEAN HALL | 1 |
| CLEAN HALL BLD | 1 |
| CLEAN HALL BLDG | 2 |
| CLEAN HALL BUILDING | 2 |
| CLEAN HALLS | 75 |
| CLEAN HALLS BLDG | 1 |
| CLEAN HALLS BLDG | 16 |
| CLEAN HALLS BUIDLING | 1 |
| CLEAN HALLS BUILDING | 35 |
| CLEAN HALLS LOCATION | 10 |
| CLEAN HALLS LOCATION BRHIND DOOR | 1 |
| CLEAN HALLS NITRO NYHA | 1 |
| CLEAN HALLS PROGRAM | 2 |
| CLEAN HALLS PROGRAM- CRIM TRES | 1 |
| CLEAN TRESPASS | 1 |

| Category | N of Stops |
|---|------------|
| CLEANS HALLS | 2 |
| CRIM TRESPASS | 1 |
| CRIM TREPASS | 7 |
| CRIM TRES | 67 |
| CRIM TRES/ CLEAN HALLS | 1 |
| CRIM TRESP | 2 |
| CRIM TRESPASS | 27 |
| CRIM TRESPASS ARREST | 1 |
| CRIM TRESPASSING | 1 |
| CRIM TRESS | 3 |
| CRIM TRESSPASS | 1 |
| CRIM. TRES. | 1 |
| CRIMINAL TRES | 2 |
| CRIMINAL TRESP | 5 |
| CRIMINAL TRESPAS | 2 |
| CRIMINAL TRESPASS | 60 |
| CRIMINAL TRESPASS ARREST | 1 |
| CRIMINAL TRESPASS ON CLEAN HALLS LOCATION | 1 |
| CRIMINAL TRESSPASS | 1 |
| CRIMNAL TRESPASS | 1 |
| CRIMTRESPASS | 1 |
| CRM TRESP | 1 |
| CRMINIAL TRESPASS | 1 |
| CT | 1 |
| LOITERINF CLEAN HALLS BLDG | 1 |
| LOITERING | 15 |
| LOITERING CLEAN HALLS BLDG | 2 |
| LOITERINTG | 1 |
| MISD/ CRIM TRES | 1 |
| NYPD CLEAN HALLS BUILDING | 3 |
| NYPD CLEAN HALLS LOCATION | 2 |
| OBSERVED A CLEAN HALLS | 1 |
| OPERATION CLEAN HALLS | 1 |
| OPERATION CLEAN HALLS BUILDING | 1 |
| POSSIBLE CRIM TRES | 1 |
| THE BLD IS CLEAN HALLS | 1 |
| THE BLDG IS A CLEAN HALLS BLDG | 1 |
| TRESPASS | 11 |
| TRESPASSIG | 1 |
| TRESPASSING | 2 |
| TRESS PASS | 1 |
| TRESSPASS | 1 |
| TRESSPASS OF CLEAN HALLS | 2 |
| Suspicious Bulge | 1 |
| SUSPICIOUS BULGE | 1 |
| Fits Description | 12 |
| AS PER BLDING SECURITY | 1 |
| AS PER BUILDING SECURITY | 1 |

Data Appendices

| Category | N of Stops |
|---|------------|
| C/V | 1 |
| DESCRIPTION | 1 |
| REPORT FROM SUPER WATCHED ON CAMERA | 1 |
| REPORT FROM WITNESS | 3 |
| SUPER INFORM A/O OF CRIME | 1 |
| SUPER INFORMED A/O | 1 |
| VARIOUS RADIO RUNS W/ DESCRIPTION | 2 |
| Observed Entry | 12 |
| ATTEMPTING TO ENTER BLDG | 1 |
| ENTER CLEAN HALLS BLDG | 1 |
| ENTERED BLDG THROUGH BACK DOOR | 1 |
| ENTERING A CLEAN HALLS BUILDING | 1 |
| ENTERING CLEAN HALLS LOCATION | 2 |
| ENTERING DWELLEING | 1 |
| GOING INSIDE DOOR OF BLDG. | 1 |
| OBSERVED TYRING TO ENTER ONE BUILDING WHEN UNAB | 1 |
| RAN INTO BLDG | 1 |
| STANDING OUTSIDE TRYING THE DOOR | 1 |
| TRYING TO ENTER A HOUSING PROJECT | 1 |
| Observed Entry & Exit | 11 |
| ENTER /EXITING OF HOUSING LOCATION | 1 |
| ENTER/EXITING OF HOUSING LOCAITON | 1 |
| ENTERING AND EXITING CLEAN HALLS LOCATION | 1 |
| ENTERING/EXITING CLEAN HALLS BUILDING | 1 |
| IN/OUT OF BUILDING | 1 |
| QUICK VISIT TO BUILDING | 1 |
| WALKING IN AND OUT | 1 |
| WALKING INTO AND OUT OF BLDG | 1 |
| WALKING INTO BUILDING THEN WALKING OUT | 1 |
| WENT INSIDE BUILDING & UPSTAIRS CAME OUTSIDE AF | 1 |
| WENT INSIDE BUILDING THEN BACK TO SIT ON WALL | 1 |
| Observed Exit | 106 |
| CAME OUT FROM BUILDING | 2 |
| COMING OUT A CLEAN HALLS BUILDING | 1 |
| COMING OUT OF A CLAEN HALL BUILDING | 1 |
| COMING OUT OF A CLAEN HALL BUILDING | 1 |
| COMING OUT OF A CLEAN HAL BUILDING | 1 |
| COMING OUT OF A CLEAN HALL BUILDING | 1 |
| COMING OUT OF BLDG | 1 |
| COMING OUT OF CLEAN HALLS BUILDING | 1 |
| COMING OUT OF CLEAN HALLS LOCATION | 1 |
| COMING OUT OF HOUSING LOCATION | 1 |
| EXCITING CLEAN HALLS | 1 |
| EXIT CLEAN HALLS LOCATION | 1 |
| EXITING A CLEAN HALL LOCATION | 1 |
| EXITING A CLEAN HALLS BUILDING | 7 |
| EXITING A CLEAN HALLS LOCATION | 4 |
| EXITING A HOUSING LOCATION | 1 |

| Category | N of Stops |
|---|------------|
| EXITING BLDG | 1 |
| EXITING BUILDING | 2 |
| EXITING CLEAN HALL BLD | 1 |
| EXITING CLEAN HALL BUILDING | 3 |
| EXITING CLEAN HALLS | 1 |
| EXITING CLEAN HALLS BLD | 1 |
| EXITING CLEAN HALLS BLDG | 4 |
| EXITING CLEAN HALLS BUILDING | 14 |
| EXITING CLEAN HALLS HALLS LOCATION | 1 |
| EXITING CLEAN HALLS LOCATION | 17 |
| EXITING CLEAN HALLS LOCATIONS | 1 |
| EXITING HOUSING LOCATION | 1 |
| EXITING LOCATION | 1 |
| EXITING THE CLEAN HALLS LOCATION | 1 |
| LEAVING A CLEAN HALL | 1 |
| LEAVING A CLEAN HALLS BUIDLING | 1 |
| LEAVING A CLEAN HALLS BUILDING | 2 |
| LEAVING BLDG | 1 |
| LEAVING CLEAN HALLS | 1 |
| LEAVING CLEAN HALLS BUILDING | 5 |
| LEAVING CLEAN HALLS LOCATION | 1 |
| OBSERVED EXITING CLEAN HALLS BUILDING | 1 |
| OBSERVED LEAVING A CLEAN HALLS BUILDING | 1 |
| OBSERVED LEAVING CLEAN HALLS BLD | 1 |
| RUNNING OUT OF BUILDING | 1 |
| SEEN EXITING A CLEAN HALLS BLDING | 1 |
| SEEN EXITING CLEAN HALLS BUILDING | 7 |
| WALKED OUT CLEAN HALLS BUILDING | 1 |
| WALKING OUT CLEAN HALLS BUILDING | 1 |
| WALKING OUT OF A CLEAN HALLS BUILDING | 1 |
| WALKING OUT OF CLEAN HALLS BUILDING | 3 |
| YELLING AND EXITING CLEAN HALLS BUILDING | 1 |
| Keyless Entry | 28 |
| ENTER APT BLDG WITHOUT KEYS | 1 |
| ENTER BLDG WITHOUT KEYS | 1 |
| ENTER BUILDING WITH OUT KEY | 1 |
| ENTER W/O A KEY | 1 |
| ENTER W/O KEY | 1 |
| ENTERED BLDG WITH OUT KEYS | 1 |
| ENTERED BLDG WITHOUT KEYS | 1 |
| ENTERED LOCATION WITHOUT KEY | 1 |
| ENTERING AN APT BLDG WITH NO KEYS | 1 |
| ENTERING BLDG BEHIND PERSON WITH KEY | 1 |
| ENTERING BLDG WITHOUT KEY | 1 |
| ENTERING BUILDING WITHOUT KEY | 1 |
| ENTERING NYC CLEAN HALLS BLOG W/O KEYS CARD FOR | 1 |
| ENTERING THE BLDG WITHOUT THE KEYS | 1 |
| FAILED TO PRODUCE KEY | 2 |

| Category | N of Stops |
|---|-------------------|
| KEYLESS ENTERING THROUGH REAR DOOR | 1 |
| KEYLESS ENTRY | 8 |
| PULLING DOOR OPEN FORCIBLY WITH NO KEY | 1 |
| REPEATED ENTERING OF BUILDING W/O KEY | 1 |
| TRYING TO GET IN TO BLDG NO KEYS | 1 |
| Keyless Exit | 2 |
| ENTERING EXIT BUILDING NO KEY | 1 |
| HE WAS COMING OUT BLDG WITH NO KEYS | 1 |
| Miscellaneous Unjustified | 4 |
| COMING OUT WITH SHOPPING CART | 1 |
| HOUSING | 1 |
| HOUSING LOCATION | 1 |
| NO KEY OR ID | 1 |
| Not Resident/Not Listed | 12 |
| DEF IS KNOWN NOT TO LIVE AT LOCATION | 1 |
| DURING CONVERSATION STATED HE DIDN'T LIVE IN BU | 1 |
| FEFT IS KNOWN NOT TO LIVE @ LOCATION | 1 |
| NO PLACE OF RESIDENCE AT MOORESS | 1 |
| NOT LISTE | 1 |
| NOT LISTED | 3 |
| STATED DID NOT LIVE AT LOCATION | 4 |
| Door Unlocked/Open | 4 |
| BLDG FRONT DOOR UNLOCKED | 1 |
| DISABLED ENTRY LOCK | 1 |
| DOORS NOT LOCKED | 1 |
| HOLDING BUILDING DOOR OPEN | 1 |
| Observed Outside | 41 |
| HANGING AROUND CLEAN HALLS BLDG COURTYARD | 1 |
| HANGING OUT F/O CLEAN HALLS BUILDING | 1 |
| HANGING OUT IN F/O CLEAN HALLS BLDG | 1 |
| HANGING OUT IN FRONT OF CLEAN HALLS BUILDING | 1 |
| HANGING OUTIN COURTYARD | 1 |
| IN F/O STOOP OF CLEAN HALLS BLDG | 1 |
| IN FRONT OF CLEAN HALL BUILDING | 3 |
| IN FRONT OF CLEAN HALLS BUILDING | 1 |
| LOITERING BY BUILDING ENTRANCE | 2 |
| LOITERING F/O BLDG | 1 |
| LOITERING F/O LOCATION | 1 |
| LOITERING FRONT DOOR OF BLDG | 1 |
| LOITERING FRONT OF BLDG | 1 |
| LOITERING I/F/O BUILDING | 1 |
| LOITERING IN COURTYARD | 1 |
| OBSERVED OUTISDE CLEAN HALLS BUILDING | 1 |
| ON C/O BLDG NEAR CLEAN HALLS LOCATION | 1 |
| OUTSIDE CLEAN HALLS | 1 |
| OUTSIDE CLEAN HALLS BUILDING | 1 |
| SITTING IN F/O BLDG | 1 |
| SITTING IN F/O LOCATION IN STEPS | 1 |

| Category | N of Stops |
|---|--------------|
| SITTING IN FRONT STEPS OF LOCATION | 1 |
| STANDIG ON STOOP OF BUILDING | 1 |
| STANDING F/O BLDG ENTRANCE | 1 |
| STANDING F/O BUILDING | 1 |
| STANDING F/O ENTRANCE FOR PERIOD OF TIME | 1 |
| STANDING IN F/O CLEAN HALLS BUILDING | 1 |
| STANDING IN FRONT BUILDING | 1 |
| STANDING IN FRONT CLEAN HALLS BUILDING | 1 |
| STANDING IN FRONT OF BUILDING | 2 |
| STANDING IN FRONT OF CLEAN HALLS BLDG | 1 |
| STANDING OUTSIDE | 1 |
| STANDING OUTSIDE CLEAN HALLS BLD | 1 |
| STANDING OUTSIDE CLEAN HALLS BUILDING | 1 |
| STUDING IN FRONT OF BUILDING | 1 |
| WAITING TO ENTER A CLEAN HALLS BUILDING | 1 |
| WALKING TO CLEAN HALLS BLDG | 1 |
| Radio Run | 20 |
| 911 CALL FOR DISORDERLY MALES | 1 |
| 911 CALL FOR DISPUTE AT LOCATION | 1 |
| 911 CALL/WITNESS | 1 |
| CRIM TRES RADIO RUN | 1 |
| PROCEEDING TO CRIME LOCATION | 1 |
| R/R OF DISORDERLY GROUP AT LOCATION | 2 |
| R/R SUPS MALES @ LOCATION | 1 |
| R/R SUSP MALES @ LOCATION | 1 |
| RADIO RUN | 4 |
| RADIO RUN FOR LOUD PARTY AT LOCATION | 1 |
| RADIO RUN TO LOCATION | 3 |
| RADION RUN | 2 |
| RR OF CPM BRUGS SALE | 1 |
| Unintelligible | 8 |
| BLDG | 2 |
| MISSING | 3 |
| N/A | 1 |
| NEG REESULTS | 1 |
| UNK | 1 |
| (blank) | 1,125 |
| (blank - CS_Other not checked) | 1,122 |
| (blank - CS_Other checked) | 3 |
| Exclude for PI Analysis | 53 |
| BURGLARIES | 1 |
| CAME OUT OF BUILDING REFUSED TO REMOVE HANDS FR | 1 |
| CROSSED THROUGH NYCHA BLDG | 2 |
| DISORDERLY GROUP AT LOCATION | 1 |
| DUMPING MICROWAVE DOWN STAIRS OF CLEAN HALLS BS | 1 |
| ENTER NYCHA BLDG THROUGH EMERGENCY EXIST | 1 |
| ENTERING NYCHA BUILDING W/O KEYS | 1 |
| EXITING BLDG -BURG CONDITON | 1 |

| Category | N of Stops |
|---|-------------|
| EXITING BUILDING W/AUDIBLE ROOF ALARM SOUNDING | 1 |
| EXITING NYCHA | 1 |
| EXITING NYCHA BLDG | 1 |
| EXITING NYCHA BUILDING | 2 |
| EXITING NYCHA LOCATION | 1 |
| EXITING NYCHA RESIDENCE | 1 |
| F/O NYCHA BLDG DOORWAY | 1 |
| HANGING OUT IN F/O NYCHA BLDG | 1 |
| INSIDE GATED CONSTRUCTION AREA | 1 |
| INSIDE UNAUTH. AREA | 1 |
| KICKING THE DOOR | 1 |
| LEAVING NYCHA BUILDING | 1 |
| LOITERING ON NYC PROPERTY | 1 |
| MOVING CONSTRUCTION BARRIER | 1 |
| NYCHA | 10 |
| NYCHA BUILDING | 1 |
| NYCHA LOBBY | 1 |
| NYCHA LOC/NO ID/NO KEYS | 1 |
| PUBLIC HOUSING BUILDING | 2 |
| SEEN EXITING NYCHA ALLEY | 1 |
| SEEN EXITING NYCHA BUILDING | 1 |
| SEEN LOITERING, ENTERING AND EXITING NYCHA BUIL | 1 |
| STANDING IN HALLWAY OF NYCHA BUILDING | 1 |
| STANDING OUTSIDE NYCHA BUILDING | 1 |
| WALKING OUT OF NYCHA BUILDING | 8 |
| WALKWAY OF PUBLIC HOUSING BLDG. | 1 |
| Total | 1857 |

APPENDIX G**Isolating Trespass as the Only Suspected Crime
in the Proximity Stop****Stops excluded based on values of "detailcm" (25 total)**

| "detailcm" value | N of Stops |
|--|-------------------|
| 20 (CPW) | 10 |
| 22 (Criminal Contempt) | 4 |
| 32 (Custodial Interference) | 3 |
| 28 (Criminal Sale of Controlled Substance) | 2 |
| 112 (Other) | 2 |
| 16 (Computer tampering) | 1 |
| 21 (Creating a Hazard) | 1 |
| 24 (Criminal Possession of Controlled Substance) | 1 |
| 85 (Robbery) | 1 |

Stops excluded based on values of "crimsusp" (13 total)

| "crimsusp" value | N of Stops |
|--------------------------|-------------------|
| CPW | 2 |
| CPM | 1 |
| CPW / TRESPASS | 1 |
| CPW/CRIM TRES | 1 |
| CRIM TRES BURG | 1 |
| CRIM TRES CPM | 1 |
| CRIM TRES/ CPW | 1 |
| CRIM TRES/ PETIT LARCENY | 1 |
| CRIMINAL TRESPASS CPW | 1 |
| CRIMINAL TRESPASS/ CPW | 1 |
| CT/ CPM | 1 |
| MISD/CPCS7 | 1 |

Stops excluded based on values of "detailsa" (8 total)

| "detailsa" value | N of Stops |
|---------------------------|-------------------|
| BURGLARY | 5 |
| DIS CON:OBSCENE LANGUAGE | 1 |
| OPEN CONTAINER OF ALCOHOL | 1 |
| ROBBERY | 1 |

APPENDIX H**All Stop Factors (Alone or in Combination) for Proximity Stops**

| Stop Factor(s) | N of Stops |
|--|-------------------|
| FURTIVE MOVEMENTS | 467 |
| CS_OTHER (Clean Halls/Trespass) | 312 |
| CASING | 117 |
| LOOKOUT | 87 |
| CS_OTHER (Observed Exit) | 74 |
| FITS DESCRIPTION | 62 |
| FURTIVE MOVEMENTS + CS_OTHER (Clean Halls/Trespass) | 55 |
| DRUG TRANSACTION | 43 |
| CASING + FURTIVE MOVEMENTS | 42 |
| FURTIVE MOVEMENTS + LOOKOUT | 38 |
| DRUG TRANSACTION + FURTIVE MOVEMENTS | 37 |
| CS_OTHER (Exclude for PI Analysis) | 34 |
| SUSPICIOUS BULGE | 33 |
| CS_OTHER (Observed Outside) | 30 |
| CASING + LOOKOUT | 23 |
| CS_OTHER (Keyless Entry) | 22 |
| FURTIVE MOVEMENTS + SUSPICIOUS BULGE | 20 |
| FURTIVE MOVEMENTS + CS_OTHER (Observed Exit) | 18 |
| CS_OTHER (Radio Run) | 17 |
| FURTIVE MOVEMENTS + FITS DESCRIPTION | 15 |
| VIOLENT CRIME + FURTIVE MOVEMENTS | 14 |
| CS_OTHER (Observed Entry) | 11 |
| CS_OTHER (Fits Description) | 10 |
| DRUG TRANSACTION + LOOKOUT | 9 |
| FURTIVE MOVEMENTS + CS_OTHER (Exclude for PI Analysis) | 9 |
| CASING + FURTIVE MOVEMENTS + LOOKOUT | 9 |
| DRUG TRANSACTION + LOOKOUT + FURTIVE MOVEMENTS | 9 |
| FURTIVE MOVEMENTS + SUSPICIOUS BULGE + LOOKOUT | 8 |
| CS_OTHER (Not Resident/Not Listed) | 7 |
| CS_OTHER (Unintelligible) | 6 |
| CLOTHING | 6 |
| CS_OTHER (High Crime Area) | 6 |
| FURTIVE MOVEMENTS + CLOTHING | 6 |
| CS_OTHER (Change Direction) | 5 |
| CS_OTHER (Observed Entry and Exit) | 5 |
| VIOLENT CRIME | 5 |
| CASING + CS_OTHER (Clean Halls/Trespass) | 5 |
| DRUG TRANSACTION + CS_OTHER (Observed Exit) | 5 |
| FURTIVE MOVEMENTS + CS_OTHER (Observed Entry and Exit) | 5 |
| LOOKOUT + CS_OTHER (Clean Halls/Trespass) | 5 |
| DRUG TRANSACTION + VIOLENT CRIME | 4 |
| SUSPICIOUS BULGE + CS_OTHER (Clean Halls/Trespass) | 4 |
| SUSPICIOUS BULGE + CS_OTHER (Exclude for PI Analysis) | 4 |
| CASING + LOOKOUT + CS_OTHER (Clean Halls/Trespass) | 4 |
| DRUG TRANSACTION + CASING + FURTIVE MOVEMENTS | 4 |

Data Appendices

| Stop Factor(s) | N of Stops |
|--|------------|
| DRUG TRANSACTION + CASING + LOOKOUT | 4 |
| FURTIVE MOVEMENTS + FITS DESCRIPTION + SUSPICIOUS BULGE | 4 |
| FURTIVE MOVEMENTS + SUSPICIOUS BULGE + CLOTHING | 4 |
| CS_OTHER (Door Unlocked/Open) | 3 |
| CS_OTHER (Misc Unjustified) | 3 |
| CASING + FITS DESCRIPTION | 3 |
| DRUG TRANSACTION + CASING | 3 |
| FURTIVE MOVEMENTS + CS_OTHER (Not Resident/Not Listed) | 3 |
| SUSPICIOUS BULGE + CS_OTHER (Observed Outside) | 3 |
| CASING + FURTIVE MOVEMENTS + CS_OTHER (Clean Halls/Trespass) | 3 |
| CASING + FURTIVE MOVEMENTS + SUSPICIOUS BULGE | 3 |
| VIOLENT CRIME + FURTIVE MOVEMENTS + CLOTHING | 3 |
| DRUG TRANSACTION + CASING + FURTIVE MOVEMENTS + LOOKOUT | 3 |
| CS_OTHER (Evasive Response) | 2 |
| CS_OTHER (Keyless Exit) | 2 |
| CS_OTHER ([blank]) | 2 |
| DRUG TRANSACTION + CS_OTHER (Clean Halls/Trespass) | 2 |
| DRUG TRANSACTION + CS_OTHER (Observed Outside) | 2 |
| DRUG TRANSACTION + SUSPICIOUS BULGE | 2 |
| FITS DESCRIPTION + CS_OTHER (Clean Halls/Trespass) | 2 |
| FITS DESCRIPTION + CS_OTHER (Observed Exit) | 2 |
| FURTIVE MOVEMENTS + CS_OTHER (Change Direction) | 2 |
| FURTIVE MOVEMENTS + CS_OTHER (Keyless Entry) | 2 |
| LOOKOUT + CS_OTHER (Observed Outside) | 2 |
| LOOKOUT + CS_OTHER (Radio Run) | 2 |
| LOOKOUT + FITS DESCRIPTION | 2 |
| SUSPICIOUS BULGE + CLOTHING | 2 |
| SUSPICIOUS BULGE + CS_OTHER (Observed Exit) | 2 |
| CASING + LOOKOUT + CS_OTHER (Observed Outside) | 2 |
| CLOTHING + SUSPICIOUS BULGE + CS_OTHER (Exclude for PI Analysis) | 2 |
| DRUG TRANSACTION + CASING + VIOLENT CRIME | 2 |
| DRUG TRANSACTION + FURTIVE MOVEMENTS + CS_OTHER (Clean Halls/Trespass) | 2 |
| FURTIVE MOVEMENTS + LOOKOUT + FITS DESCRIPTION | 2 |
| VIOLENT CRIME + FURTIVE MOVEMENTS + FITS DESCRIPTION | 2 |
| VIOLENT CRIME + FURTIVE MOVEMENTS + LOOKOUT | 2 |
| CASING + FURTIVE MOVEMENTS + LOOKOUT + CS_OTHER (Clean Halls/Trespass) | 2 |
| CASING + FURTIVE MOVEMENTS + LOOKOUT + SUSPICIOUS BULGE | 2 |
| CS_OTHER (Time of Day) | 1 |
| CS_OTHER (Suspicious Bulge/Object) | 1 |
| SUSPICIOUS OBJECT | 1 |
| CASING + CLOTHING | 1 |
| CASING + CS_OTHER (Exclude for PI Analysis) | 1 |
| CASING + CS_OTHER (Keyless Entry) | 1 |
| CASING + CS_OTHER ([blank]) | 1 |
| CASING + SUSPICIOUS BULGE | 1 |
| CLOTHING + CS_OTHER (Clean Halls/Trespass) | 1 |
| DRUG TRANSACTION + FITS DESCRIPTION | 1 |
| DRUG TRANSACTION + SUSPICIOUS OBJECT | 1 |
| FITS DESCRIPTION + CS_OTHER (Keyless Entry) | 1 |

Data Appendices

| Stop Factor(s) | N of Stops |
|---|--------------|
| FURTIVE MOVEMENTS + CS_OTHER (Evasive Response) | 1 |
| FURTIVE MOVEMENTS + CS_OTHER (High Crime Area) | 1 |
| FURTIVE MOVEMENTS + CS_OTHER (Fits Description) | 1 |
| FURTIVE MOVEMENTS + CS_OTHER (Door Unlocked/Open) | 1 |
| FURTIVE MOVEMENTS + CS_OTHER (Misc Unjustified) | 1 |
| FURTIVE MOVEMENTS + CS_OTHER (Observed Outside) | 1 |
| FURTIVE MOVEMENTS + CS_OTHER (Unintelligible) | 1 |
| FURTIVE MOVEMENTS + SUSPICIOUS OBJECT | 1 |
| LOOKOUT + CS_OTHER (Exclude for PI Analysis) | 1 |
| LOOKOUT + CS_OTHER (Keyless Entry) | 1 |
| LOOKOUT + CS_OTHER (Not Resident/Not Listed) | 1 |
| LOOKOUT + CS_OTHER (Observed Exit) | 1 |
| SUSPICIOUS BULGE + CS_OTHER (Keyless Entry) | 1 |
| SUSPICIOUS BULGE + CS_OTHER (Observed Entry and Exit) | 1 |
| SUSPICIOUS BULGE + FITS DESCRIPTION | 1 |
| VIOLENT CRIME + SUSPICIOUS BULGE | 1 |
| CASING + FURTIVE MOVEMENTS + CS_OTHER (Observed Entry) | 1 |
| CASING + FURTIVE MOVEMENTS + FITS DESCRIPTION | 1 |
| CASING + LOOKOUT + CLOTHING | 1 |
| CASING + LOOKOUT + FITS DESCRIPTION | 1 |
| CASING + LOOKOUT + SUSPICIOUS BULGE | 1 |
| CLOTHING + SUSPICIOUS BULGE + CS_OTHER (Observed Exit) | 1 |
| CLOTHING + SUSPICIOUS BULGE + CS_OTHER (Observed Outside) | 1 |
| DRUG TRANSACTION + FITS DESCRIPTION + CS_OTHER (Unintelligible) | 1 |
| DRUG TRANSACTION + FURTIVE MOVEMENTS + CS_OTHER (High Crime Area) | 1 |
| DRUG TRANSACTION + FURTIVE MOVEMENTS + CS_OTHER (Fits Description) | 1 |
| DRUG TRANSACTION + FURTIVE MOVEMENTS + CS_OTHER (Not Resident/Not Listed) | 1 |
| DRUG TRANSACTION + LOOKOUT + VIOLENT CRIME | 1 |
| FURTIVE MOVEMENTS + FITS DESCRIPTION + CS_OTHER (Observed Exit) | 1 |
| LOOKOUT + FITS DESCRIPTION + CS_OTHER (Exclude for PI Analysis) | 1 |
| LOOKOUT + FURTIVE MOVEMENTS + CS_OTHER (Clean Halls/Trespass) | 1 |
| LOOKOUT + FURTIVE MOVEMENTS + CS_OTHER (Observed Exit) | 1 |
| SUSPICIOUS BULGE + FITS DESCRIPTION + CS_OTHER (Exclude for PI Analysis) | 1 |
| VIOLENT CRIME + CASING + FURTIVE MOVEMENTS | 1 |
| VIOLENT CRIME + FURTIVE MOVEMENTS + SUSPICIOUS BULGE | 1 |
| CASING + FURTIVE MOVEMENTS + FITS DESCRIPTION + CS_OTHER (Observed Exit) | 1 |
| CASING + FURTIVE MOVEMENTS + LOOKOUT + CS_OTHER (Radio Run) | 1 |
| CASING + SUSPICIOUS BULGE + LOOKOUT + CS_OTHER (Clean Halls/Trespass) | 1 |
| DRUG TRANSACTION + CASING + CLOTHING + LOOKOUT | 1 |
| DRUG TRANSACTION + FURTIVE MOVEMENTS + LOOKOUT + FITS DESCRIPTION | 1 |
| VIOLENT CRIME + FURTIVE MOVEMENTS + FITS DESCRIPTION + SUSPICIOUS BULGE | 1 |
| VIOLENT CRIME + CASING + FURTIVE MOVEMENTS + CLOTHING | 1 |
| VIOLENT CRIME + CASING + FURTIVE MOVEMENTS + LOOKOUT | 1 |
| VIOLENT CRIME + LOOKOUT + FURTIVE MOVEMENTS + FITS DESCRIPTION + SUSPICIOUS BULGE | 1 |
| TOTAL | 1,857 |

APPENDIX I**“detailEF” Analysis****Analysis of text strings from “Other” additional circumstances**

| Category | N of Stops |
|---|-------------------|
| Clean Halls/Trespass | 45 |
| CLEAN HALL | 1 |
| CLEAN HALLS | 23 |
| CLEAN HALLS / CRIMINAL TRESPASS | 2 |
| CLEAN HALLS BLDG | 3 |
| CLEAN HALLS BUIDLING | 1 |
| CLEAN HALLS BUILDING | 1 |
| CLEAN HALLS LOCATION | 1 |
| CLEANHALLS | 1 |
| CREM TRES | 1 |
| CRIM TRES | 3 |
| CRIM TRESPASS | 1 |
| CRIM TRESPASS/CLEAN HALLS | 1 |
| CRIM. TRES. | 2 |
| CRIMINAL TRES | 1 |
| LOITERING | 3 |
| Exclude for PI Analysis | 4 |
| HOUSING PROJECT | 1 |
| PUBLIC HOUSING BUILDING | 1 |
| SEEN ON ROOF | 1 |
| WALKING OUT OF BLDG, CHANGING DIRECTION | 1 |
| Observed Exit | 7 |
| EXITING CLEAN HALLS LOCATION | 4 |
| LEAVING CLEAN HALLS | 2 |
| WALKING OUT OF BUILDING | 1 |
| Keyless Entry | 3 |
| ENTER APT BLDG WITHOUT KEYS | 1 |
| ENTERED BLDG WITH OUT KEYS | 1 |
| ENTERED BLOG THAT REQUIRED KEY CARD TO | 1 |
| Miscellaneous Unjustified | 6 |
| ARREST | 4 |
| DARK ALLEYWAY | 1 |
| FRIEND VERIFIED HIM ABOVE LOCATION | 1 |
| Not Resident/Not Listed | 2 |
| DEF IS KNOWN NOT TO LIVE @ LOCATION | 1 |
| DEFT IS KNOWN NOT TO LIVE AT LOCATION | 1 |
| Door Unlocked/Open | 1 |
| DOORS NOT LOCKED | 1 |
| Observed Outside | 3 |
| STANDING IN F/O BLDD ENTRANCE | 1 |

| | |
|---|-----------|
| STANDING IN FRONT OF BUILDING | 1 |
| THE PERSON WAS SITTING ON THE BRICK FEN | 1 |
| Radio Run | 6 |
| JOB TO LOCATION | 1 |
| RADIO RUN | 2 |
| RADIO RUN TO LOCATION | 2 |
| RR | 1 |
| Total | 77 |

APPENDIX I**All Additional Circumstances (Alone or in Combination)
for Proximity Stops Identified in Table 8**

| Additional Circumstance(s) | N of Stops |
|--|-------------------|
| High Crime Area | 247 |
| High Crime Area + Time of Day | 188 |
| No AC | 163 |
| Proximity to Scene | 68 |
| Time of Day | 59 |
| Change Direction | 37 |
| High Crime Area + Time of Day + Proximity to Scene | 33 |
| Evasive Response | 25 |
| Other - Clean Halls/Trespass | 25 |
| High Crime Area + Proximity to Scene | 25 |
| High Crime Area + Time of Day + Evasive Response | 21 |
| High Crime Area + Evasive Response | 17 |
| Change Direction + Evasive Response | 17 |
| High Crime Area + Change Direction | 15 |
| Proximity to Scene + Time of Day | 13 |
| Ongoing Investigation | 12 |
| Report by Victim/Witness/Officer | 10 |
| High Crime Area + Clean Halls/Trespass | 10 |
| High Crime Area + Ongoing Investigation | 8 |
| Change Direction + Ongoing Investigation | 8 |
| High Crime Area + Report by Victim/Witness/Officer | 7 |
| High Crime Area + Evasive Response + Change Direction | 7 |
| High Crime Area + Time of Day + Ongoing Investigation | 7 |
| High Crime Area + Time of Day + Evasive Responses + Change Direction | 7 |
| Other – Observed Exit | 6 |
| Time of Day + Other - Clean Halls/Trespass | 5 |
| High Crime Area + Time of Day + Report by Victim/Witness/Officer | 5 |
| 5 or 6 ACs | 4 |
| High Crime Area + Time of Day + Proximity to Scene + Evasive Responses | 4 |
| Proximity to Scene + Other - Radio Run | 4 |
| High Crime Area + Time of Day + Change Direction | 4 |
| Time of Day + Change Direction + Evasive Response | 4 |
| Other - Exclude for PI Analysis | 3 |
| Other – Observed Outside | 3 |
| High Crime Area + Associating with Known Criminals | 3 |
| Change Direction + Proximity to Scene | 3 |

Data Appendices

| Additional Circumstance(s) | N of Stops |
|--|------------|
| Proximity to Scene + Evasive Response | 3 |
| High Crime Area + Time of Day + Associating with Known Criminals | 3 |
| High Crime Area + Time of Day + Evasive Responses + Ongoing Investigation | 2 |
| High Crime Area + Time of Day + Ongoing Investigation + Change Direction | 2 |
| High Crime Area + Time of Day + Proximity to Scene + Change Direction | 2 |
| Associating with Known Criminals | 2 |
| Other - Radio Run | 2 |
| Proximity to Scene + Ongoing Investigation | 2 |
| Evasive Response + Change Direction + Other-Clean Halls/Trespass | 2 |
| High Crime Area + Change Direction + Other - Not Resident/Not Listed | 2 |
| High Crime Area + Evasive Response + Ongoing Investigation | 2 |
| High Crime Area + Proximity to Scene + Change Direction | 2 |
| High Crime Area + Proximity to Scene + Ongoing Investigation | 2 |
| High Crime Area + Time of Day + Other - Clean Halls/Trespass | 2 |
| Proximity to Scene + Evasive Response + Change Direction | 2 |
| High Crime Area + Time of Day + Proximity to Scene + Report By Victim/Witness/Officer | 1 |
| High Crime Area + Time of Day + Evasive Responses + Sights and Sounds | 1 |
| High Crime Area + Time of Day + Evasive Responses + Other - Clean Halls/Trespass | 1 |
| High Crime Area + Change Direction + Proximity to Scene + Report by Victim/Witness/Officer | 1 |
| High Crime Area + Change Direction + Evasive Responses + Report by Victim/Witness/Officer | 1 |
| High Crime Area + Change Direction + Proximity to Scene + Ongoing Investigation | 1 |
| Proximity to Scene + Change Direction + Time of Day + Evasive Responses | 1 |
| Proximity to Scene + Change Direction + Time of Day + Other - Miscellaneous Unjustified | 1 |
| Other - Keyless Entry | 1 |
| Other - Miscellaneous Unjustified | 1 |
| Other - Door Unlocked/Open | 1 |
| Change Direction + Other (Exclude for PI Analysis) | 1 |
| Change Direction + Other (Misc. Unjustified) | 1 |
| Proximity to Scene + Associating with Known Criminals | 1 |
| Time of Day + Report by Victim/Witness/Officer | 1 |
| Time of Day + Associating with Known Criminals | 1 |
| Evasive Response + Other - Keyless Entry | 1 |
| Ongoing Investigation + Other - Miscellaneous Unjustified | 1 |
| Ongoing Investigation + Evasive Response | 1 |
| High Crime Area + Ongoing Investigation + Other - Observed Exit | 1 |
| High Crime Area + Ongoing Investigation + Other - Miscellaneous Unjustified | 1 |
| High Crime Area + Proximity to Scene + Report by Victim/Witness/Officer | 1 |
| High Crime Area + Proximity to Scene + Associating with Known Criminals | 1 |
| High Crime Area + Time of Day + Other - Keyless Entry | 1 |
| Proximity to Scene + Associating with Known Criminals + Change Direction | 1 |

Data Appendices

| Additional Circumstance(s) | N of Stops |
|--|--------------|
| Proximity to Scene + Ongoing Investigation + Other - Miscellaneous Unjustified | 1 |
| Time of Day + Change Direction + Ongoing Investigation | 1 |
| Time of Day + Proximity to Scene + Associating with Known Criminals | 1 |
| TOTAL | 1,137 |

APPENDIX K**Frequency of Bronx Proximity Stops by Precinct**

| All Bronx Proximity Stops by Precinct | | |
|--|-------------------|----------------|
| Precinct | N of Stops | Percent |
| 43 | 525 | 28.27 |
| 44 | 425 | 22.89 |
| 52 | 291 | 15.67 |
| 41 | 239 | 12.87 |
| 46 | 99 | 5.33 |
| 49 | 89 | 4.79 |
| 40 | 76 | 4.09 |
| 42 | 40 | 2.15 |
| 48 | 28 | 1.51 |
| 50 | 20 | 1.08 |
| 47 | 18 | 0.97 |
| 45 | 7 | 0.38 |
| Total | 1,857 | 100.00% |

Bronx Proximity Stops Identified in Table 8 by Precinct

| Precinct | N of Stops | Percent |
|--------------|--------------|----------------|
| 43 | 372 | 32.72% |
| 44 | 237 | 20.84% |
| 52 | 215 | 18.91% |
| 41 | 110 | 9.67% |
| 46 | 52 | 4.57% |
| 49 | 51 | 4.49% |
| 40 | 40 | 3.52% |
| 42 | 25 | 2.20% |
| 48 | 17 | 1.50% |
| 50 | 8 | 0.70% |
| 47 | 8 | 0.70% |
| 45 | 2 | 0.18% |
| Total | 1,137 | 100.00% |